



February 2009 Update

## **ESAR A2.2 Entry Summary Processing Makes its Debut in ACE this Spring**

With the deployment of ESAR A2.2, ACE will begin entry summary processing for the two most common entry types — Consumption (01) and Informal (11). This is a giant step towards the elimination of extraneous paper processing and the promotion of electronic processing. Thirty-nine entry filers responded to the Federal Register Notice to participate as early adopters of this new functionality.

Several new Automated Broker Interface (ABI) messages will be included in this deployment. In addition to the new ACE entry summary, the filers will receive Entry Summary Status Notifications and a Census Warning Override capability. Participation in the ESAR A2.2 deployment requires changes to the current ABI software. CBP is currently testing with several trade software developers.

CBP will have the ability to initiate, and filers will have the ability to respond to, CBP Forms 28, 29 and 4647 via the ACE portal. Trade responses to electronic forms can be linked to ACE entry summaries for nationwide visibility. A2.2 will also provide a new process by which the trade community can electronically correct Census warnings through the submission of an override code for ACE entry summaries prior to or after receiving a Census warning message through ABI.

## **Notification Policy for Invalid Addresses/Undeliverable Mail (CSMS 09-000069)**

In response to numerous requests from the trade community, CBP's Revenue Division will test a program beginning in February, 2009 whereby sureties receive advance notice of pending bond insufficiencies.

Beginning on February 3rd, the Revenue Division will post information about continuous bonds scheduled to be rendered insufficient to the surety's Business Activity Log (BAL) in ACE. Any surety with ACE portal account access will be able to access these postings. These postings will be made available no less than two full weeks prior to the listed bonds being rendered insufficient. This will afford the trade community the chance to proactively rectify whatever issues threaten the sufficiency of the continuous bond (whether the problem is related to returned mail, or some other issue).

Each posting to the BAL will provide specific information about the underlying problem(s) impacting the bond, as well as outline the process to correct these problems. The trade community is strongly encouraged to work closely with their surety to receive notification of any pending issues which may impact their continuous bond sufficiency. Failure to receive those notifications, or to promptly take the necessary corrective actions, will lead to the insufficiency of the continuous bond.

At this time, the Revenue Division has agreed to test this process for at least 45 days. The Revenue Division estimates that there will be 6 – 8 separate BAL postings during that period. Based in large part on the results of this test, the Revenue Division will determine the effectiveness of this process and decide whether to permanently adopt this procedure.

Please direct questions regarding this notice to Supervisory Section Chief Casey Horn at



(317) 614-4484 or via email at [Casey.Horn@dhs.gov](mailto:Casey.Horn@dhs.gov).

**Western Hemisphere Travel Initiative (WHTI) – Required Travel Documents (CSMS 09-000085)**



As a result of the Western Hemisphere Travel Initiative (WHTI) Final Rule, as of June 1, 2009, the following travel document records will no longer be acceptable as a sole declaration of a travel document:

- Birth Certificate Number
- Citizenship Card Number
- Certificate of Naturalization
- Other Document Number

As of June 1, 2009, the following documents, currently accepted in ACE, will be WHTI approved travel documents:

- Passport Number
- U.S. Visa Number
- Laser Visa Number
- Permanent Resident Card Number
- Re-Entry Permit Number
- Refugee Permit Number

Upon the deployment of an anticipated enhancement to ACE, currently scheduled for April 11, 2009, the following changes will be apparent in the ACE Portal:

- I. Change to the naming convention for the following existing travel documents:
  - “Re-Entry Permit” will be changed to “DHS Re-Entry Permit”
  - “Refugee Permit Number” will be changed to “DHS Refugee Travel Document Number”
  - “U.S. Visa Number” will be changed to two separate documents: the “U.S. VISA Immigrant Number” and the “U.S. VISA Non-Immigrant Number”
  - The “Permanent Resident Document Number” will be separated into the “A1-US Alien Registration Card Number,” “A-2- U.S. Alien Registration Card Number,” the “C1-Permanent Resident Card Number (1998-2003),” and the “C2-Permanent Resident Card Number.”



II. Addition of six new WHTI approved travel document types:

- Enhanced Driver's License (EDL)
- NEXUS Card
- U.S. Passport Card
- SENTRI Card
- U.S. Merchant Mariner Document
- Native American Indian/INAC

A Free and Secure Trade (FAST) card is an approved WHTI document. There will be no changes made to the ACE e-Manifest filing procedures, via Portal or EDI, when reporting a FAST driver.

Please be aware that as of the anticipated start date of April 11, ACE will start issuing warning messages alerting anyone who is not supplying a WHTI approved travel document in their truck e-Manifest. Any e-Manifests filed without the appropriate WHTI approved documents will be rejected as of June 1, 2009, when the WHTI final rule becomes effective.

CBP strongly recommends that carriers begin an immediate review of their driver records to confirm that the mandatory data element of "Citizenship/Nationality" is stored in each driver record.

CBP will be publishing a Federal Register Notice in the near future announcing the portal changes that will result from WHTI implementation.



**The results from your ACE report can be returned by rank. For example, your report can show the top filers by port, ranked by the number of entry summaries. To do this, select the desired "modified" report and relevant data fields. Follow the steps below:**

- **Select the icon "Add database ranking"  and it will appear in the Query Filter pane.**
- **Enter number of results.**
- **Click and drag the qualitative data field for which you want the ranking into the "dimensions" box.**

- **Click and drag the quantitative data field for which the results are based into the "measures" box.**
- **Select Run Query.**