



**Information Notice:**  
**Portal and Other ESAR A2.3.1a Changes**  
**AD/CVD Processing and Case Management**  
**(For All Account Types)**

Effective January 17, 2010, U.S. Customs and Border Protection will deploy enhanced Automated Commercial Environment (ACE) capabilities related to Entry Summary, Accounts and Revenue (ESAR) that will provide new Portal and EDI capabilities specific to the filing and processing of AD/CVD entries (also known as type 03 entries) and AD/CVD Case Management as well as other enhancements. This latest release will provide:

**AD/CVD Case Management**

- Ability to search, display and print both AD/CVD case information and AD/CVD messages
- Ability to track the life cycle of an AD/CVD case
- Access to important case information such as the duty deposit rates, entry summary suspension status, bond / cash status, administrative review information and events related to the case history (e.g., “initiation,” “preliminary,” “Final,” “Order,” “Terminated”)
- Access to additional useful information in one easy location such as additional header data elements (e.g., “message status,” “Federal Register Notice cite,” “Federal Register Notice publication date,” “court order number”) and ability to run searches within the Portal

**CBP Forms**

- Importers with an ACE portal account who select “portal” as their mode of communication will now also be able to view and respond to CBP Forms 28, 29 and 4647 via the ACE Portal for all entry summaries (that is, both ACS and ACE entry summaries)

**Declarations**

- Brokers with an ACE portal account will now also be able to create declaration records for their non-portal accounts. (Brokers with clients possessing their own importer portal accounts however, will not be able to create declaration records on behalf of those clients. Brokers will have to be granted access as a “user” on the importer’s portal account in order to create the declaration records).

**EDI**

- EDI trade participants will be able to file entry summaries electronically in ACE for entry types 03 using a better organized, more descriptive ACE ABI CATAIR (Customs and Trade Automated Interface Requirements) document. Filers will also have the ability to run an ABI query of AD/CVD case information. Information returned in the query output will include data



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required by ABI filers to successfully file anti-dumping or countervailing duty entry summaries in ACE.

### **Reports**

- Access to new and enhanced Portal reports to include AD/CVD entry summary data

### **Accounts Tab**

|      |                 |            |       |
|------|-----------------|------------|-------|
| Home | <b>Accounts</b> | References | Tools |
|------|-----------------|------------|-------|

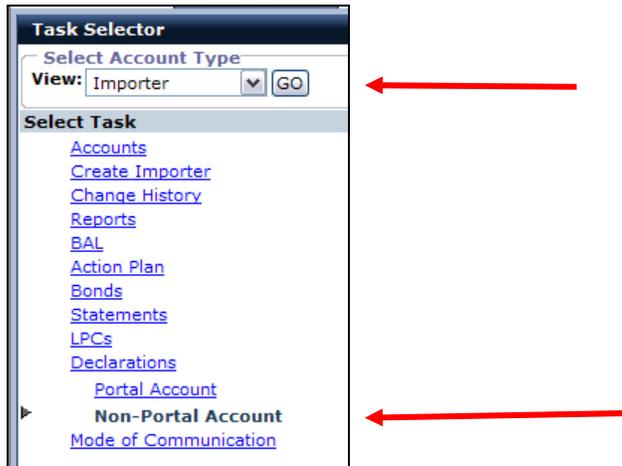
- **Declarations Hyperlink**

The “*Declarations*” hyperlink allows the user to create and maintain declaration records based on access privileges granted by the Trade Account Owner.

1. Brokers with an ACE Portal Account will now be able to create declaration records for their non-portal accounts. At this time, the following blanket declarations will be supported in the ACE Portal:
  - Affidavit of Manufacturer
  - Importer Certifying Statement
  - Non-Reimbursement Blanket Statement (Antidumping/Countervailing Duty)
  - North American Free Trade Agreement (NAFTA) Certificate of Origin
2. In order to create a declaration record, brokers will first have to change to the “*Importer*” view. This is because declaration records are tied to the importer of record number. Once under the “*Importer*” view, brokers should select the “*Non-Portal Account*” option under “*Declarations*.”



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- **Reports Hyperlink**

1. The “*Reports*” hyperlink will direct users to all ACE reports. There will be two new AD/CVD reports with this release. The *Trade Aged Liquidation AD/CVD Entry Summary* Report, ESM 8027, can be found in the new “AD/CVD” sub-folder under the “*Entry Summary*” folder. The *Trade Aged Liquidation AD/CVD Entry Summary Extract* Report, AD 8027, can be found in the “*Authorized Data Extract*” folder.

Enhancements have been made to two existing ACE reports found in the “*Entry Summary*” folder:

- *Entry Summary Line Detail* Report, ESM 7008
- *Entry Summary* Report, ESM 7068

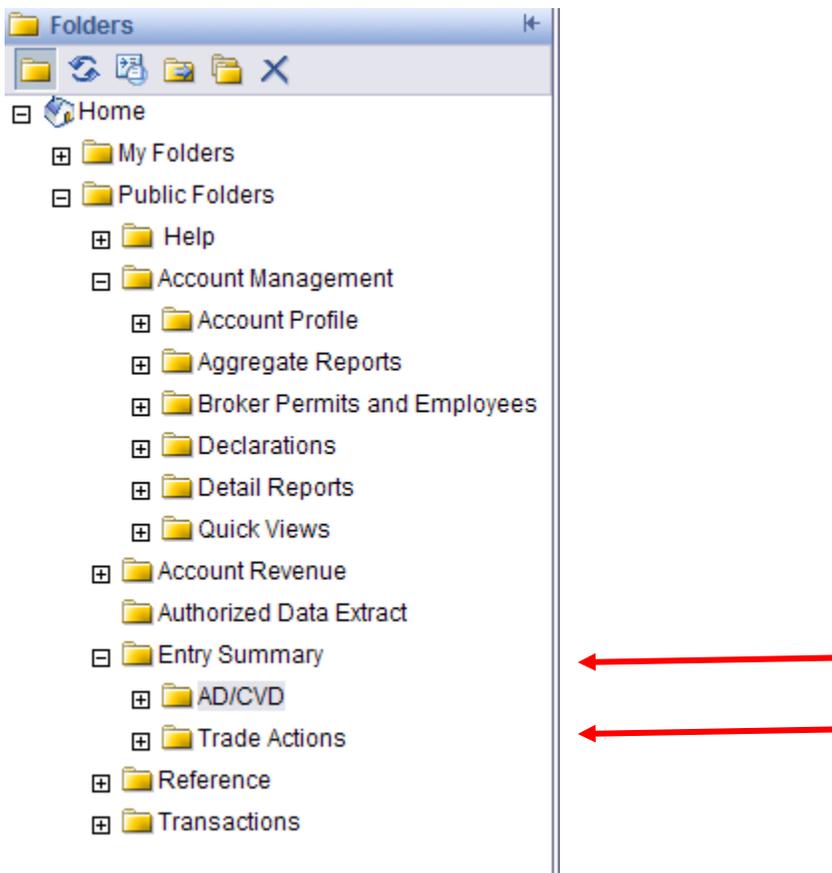
Although there are no changes to the standard reports, if the user elects to run the report by selecting “*Modify*,” there are several new AD/CVD data elements available which can be added to the report for ACE entry summaries.

Enhancements have also been made to the *Trade Declarations* Report, AM 7002, found in the “*Account Management*” folder, “*Declarations*” sub-folder. The standard report has been changed to include new data fields that will identify who created the declaration (created by account name/identifier/type) and for whom it was created (created for account name/identifier/type).



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Although there will be no changes to the *CBP Form 28, 29, 4647 Status Report, ESM 7025* (found under the “*Entry Summary*” folder, “*Trade Actions*” sub-folder ), the report has been updated to include forms sent by CBP for **both ACE and ACS** entry summaries. Brokers filing ACS entry summaries with ACE Portal accounts will be able to run ESM 7025 to view all CBP Forms 28, 29 and 4647 which have been issued after January 17, 2010.



2. Also in the “*Reports*” link, an updated version of the ACE Portal Reports Dictionary is now available under the “*Help*” Folder. The ACE Portal Reports Dictionary is a reference guide of the most frequently accessed reports and provides an explanation of each report and its corresponding data objects. Users will find that the dictionary can be used in two different ways based on the information contained in each section. The first section defines reports and applicable data objects listed for each report – this section is ideal for first-time or novice users who need guidance in determining which report to



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use. The second section is new with this update and is similar to a dictionary in that it provides a list of the data objects by location and their associated definitions. This second section is for more experienced users who are familiar with the reports and the data objects they need but need guidance as to where the data objects are located in the reporting tool. Please note, this iteration of the dictionary includes the most frequently run A1 Reports but does not include A2.2 Reports.

| Title  | Last Run  | Type          | Owner         | Instances |
|--|-----------|---------------|---------------|-----------|
| ACE Portal Reports Dictionary-importers 6-30-2009<br>Properties<br>ACE Portal Reports Dictionary for Importers. Contains details of report data elements | Never run | Adobe Acrobat | Administrator | 0         |
| Trade Reports User Guide 6-30-09<br>Properties<br>ACE Portal Reports User Guide for Importers, Brokers and Sureties                                      | Never run | Adobe Acrobat | Administrator | 0         |

### References Tab



1. Portal Account holders will now be able to search, display and print both AD/CVD case information and AD/CVD messages.
2. Under the “References” tab, select either the “AD/CVD Cases Search” or “AD/CVD Messages Search” hyperlink from the *task selector*. Numerous filters are available to aid in searching for a case or a message. Users must enter at least two search filters. If you know the case or message number, no additional filter is required to execute the search.





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**Coming Soon**

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CBP will post a new course in ACE Web Based Training (WBT) following the deployment of ESAR A2.3.1a. New User Guides for A2.3.1a will also be available in early January. Trade users who have subscribed to the Cargo Systems Messaging Service (CSMS) will receive notification via CSMS when the documents are available.

To subscribe to the Cargo Systems Messaging Service (CSMS), click on the Cargo Systems Message Service link at:

<http://www.cbp.gov/xp/cgov/trade/automated/modernization/>

Any questions concerning the new A2.3.1a functionality can be directed to the following email: [ESARinfoinbox@dhs.gov](mailto:ESARinfoinbox@dhs.gov)