



Successful Deployment of New ESAR Functionality

On Sunday, February 14, 2010, U.S. Customs and Border Protection (CBP) successfully deployed enhanced Automated Commercial Environment (ACE) capabilities within the Entry Summary, Accounts and Revenue (ESAR) release. The A2.3.1a release will provide new ACE Secure Data Portal and Electronic Data Interchange (EDI) capabilities specific to the filing and processing of Anti-Dumping/Countervailing Duty (AD/CVD) entries (also known as type 03 entries) and AD/CVD Case Management.

- Portal capabilities include the ability to search, display and print both AD/CVD case information and AD/CVD messages.
- Importers with an ACE portal account who select “portal” as their mode of communication will now also be able to respond to CBP Forms 28, 29 and 4647 via the ACE Portal for all entry summaries (that is, both Automated Commercial System (ACS) and ACE entry summaries).
- Brokers with an ACE portal account will now also be able to create declarations for their non-portal accounts. Brokers with clients possessing their own importer portal accounts, however, will not be able to create declarations on behalf of their clients. Brokers will have to be granted access as a “user” on the importer’s portal account in order to create the declaration.
- Users will have access to new and enhanced portal reports to include AD/CVD entry summary data.

For more detailed information regarding these enhanced ACE Portal and EDI capabilities please see the Federal Register Notice (74 FR 69129, published on December 30, 2009) posted on the ACE: Modernization Information Systems link on CBP.gov.

Viewing and Responding to CBP Forms

Importers, do you know how to view CBP Forms 28, 29 and 4647 through the ACE Portal? Effective February 14, 2010, this capability became available to portal account users.

In order to utilize this functionality, users must set the “*Mode of Communication*” to “*Portal*” and be given access to “*Forms*.” “*Mode of Communication*” only applies to how you receive and respond to CBP forms. The modes of communication are “*Mail*” and “*Portal*.” Unless a “*Mode of Communication*” is selected, the default communication for CBP forms will be U.S. Postal Service mail. Authorized users can select both “*Mail*” and “*Portal*” as their “*Mode of Communication*.” The “*Mode of Communication*” can be selected at either the top account or sub-account level. Please note that accounts electing to receive copies of forms through the ACE Portal are not required to respond through the ACE Portal. Responses may be submitted to CBP through the ACE Portal or at the port of entry where the entry summary is filed.

Forms will appear on the “*Home*” tab for all Importer of Record (IR) numbers in the account list. All CBP forms requiring a response or containing new information will appear in the default list. Importers will also be able to search for a form at the IR level.



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For more detailed instructions pertaining to forms, please check the “Receiving and Responding to CBP Forms” user guide currently posted on CBP.gov.

Updated ESAR Documentation Available

The following documents have been updated to further define the entry summary process in ACE, as well as to include the latest antidumping and countervailing duty functionality.

- Business Rules and Process Document (Trade) Version 2.1 - Outlines the entry summary workflow in ACE.
- ACE Entry Summary Instructions Version 2.0 – Provides entry summary field descriptions and data requirements.
- CBP Form 7501 TEST – Document/Payment Transmittal – Provides the “test” 7501 transmittal form to be used during the ACE test.
- ACE Entry Summary Rejection Response – Provides the response that may be used by the trade to respond to a CBP initiated electronic entry summary rejection.

The documents identified above may be found on the CBP website at:

http://www.cbp.gov/xp/cgov/trade/trade_programs/entry_summary/

Searching for an AD/CVD Case

- The fastest way to search for an AD/CVD Case is to enter the complete case number (with or without dashes) in the “Case #” field in the portal.
- If the complete case number is not known, users must enter at least two search criteria in the “Search AD/CVD Cases” portlet.
- A wildcard search with an asterisk (*) is allowed for the “Case #,” “HTS #” and “Party ID” fields. A minimum of four characters is required to conduct a wildcard search. The wildcard can be placed at the beginning, in the middle or at the end of the search. For example:
 - A570* will return matching cases with A-570 at the beginning of the case number.
 - *1003 will return matching cases with 1-003 at the end of the case number.
- Partial keyword searching is allowed in the “Short Description” and “Party Name” fields.



Information Available on New Release

The following information is available to help users take full advantage of new and enhanced ACE functionality:

- “Forms, Declarations and AD/CVD Cases” web based training for trade posted on CBP.gov 
- AD/CVD Cases & Messages User Guide (posted on CBP.gov)
- Blanket Declaration Records User Guide (posted on CBP.gov)
- Receiving & Responding to CBP Forms User Guide (All postings on CBP.gov are under ACE Modernization/ACE Training and Reference Guides)
- A2.3.1a Trade Information Notice – CSMS 10-000001



Currently the Automated Broker Interface (ABI) does not have an edit against specific rate; this is manually calculated by the user. Will that change with ACE? Will the edit be more restrictive?

In general, ACE will provide for “validations” to include:

- *The specific rate reported in the AE (entry summary record) must match the case specific rate,*
- *The AD/CVD value of goods amount in the 53-record must be zero,*
- *The AD/CVD quantity in the 53-record must be greater than zero, and*
- *The AD/CVD duty submitted in the 53-record must match the calculated duty.*



Trade Symposium Presentations Available

All Trade Symposium presentations have been posted to CBP.gov. If needed copy the link shown below into your web browser.

http://www.cbp.gov/xp/cgov/trade/trade_outreach/presentations09/

Reconciliation Flag in ACE Reports

On January 18, 2010 CBP reminded all importers and filers running ACE reports that the issue of reconciliation flags being inconsistently displayed had been fixed and the historical data reloaded. It now appears there may be instances when the reconciliation flag is not being displayed correctly. As ACS remains the system of record for non-ACE entry summaries, filers are reminded that they should not rely solely on ACE reports for reconciliation purposes. Filers can use the entry summary data they submitted through ABI for reconciliation purposes. Filers needing assistance in completing the reconciliation entry can purchase applicable reports from the Revenue Division.

Remote Location Filing – Final Rule

On December 30, 2009, CBP published a Federal Register Notice (see 74 **FR** 69015) announcing the final rule for Remote Location Filing (RLF). These regulations will make RLF a permanent CBP program. The effective date of the new regulations is January 29, 2010. For more detailed information, please see the Federal Register Notice posted on the ACE: Modernization Information Systems link on CBP.gov.

Due to limitations in the RLF program, CBP is allowing a one-year grace period for the PAIRED entry program, from January 29, 2010 through January 28, 2011.

This delay will provide a transitional period for trade members to develop alternative business processes to replace the PAIRED entry program. This period will also allow CBP to enhance the RLF program to provide for additional entry types and documents



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required by participating government agencies (PGAs). As changes are made to the RLF program, CBP will disseminate this information to the field and trade.

Important Reminder to Importer Security Filers

On January 26, 2009, the filing requirements for Importer Security Filing (ISF) became mandatory. CBP expects all filers to be filing ISF data for any ocean shipment entering the U.S. While CBP has provided a one year flexible enforcement period to allow filers to work through various problems and to comply with these requirements, the end of that time frame is January 26, 2010. Please be sure ISF data is filed for every applicable ocean shipment that you are importing into the U.S.

There has been an increase in calls regarding 'NO BILL ON FILE' messages. While CBP understands that many bills of lading may be associated with a single shipment, the Automated Manifest System (AMS) only allows for the possibility of one or two bills to be associated with a shipment. In the instance of one bill of lading referencing a shipment, the bill is generally referred to as a "straight," "simple", or "regular" bill. In the instance of two bills of lading referencing a shipment, there will be both a master bill and a house bill, of which the house bill is the lowest bill level. There cannot be a master bill without a house bill and there cannot be a house bill without a master bill. Again, if there is only one bill in AMS, it would be a "simple" bill.

For an ISF filer to receive a 'BILL ON FILE' message, the bill(s) of lading submitted in the ISF must be at the lowest bill level and must match the bill(s) of lading submitted in AMS. A match is made when the bill type(s) (i.e. "master," "house," or "simple") and the bill number(s) submitted in the ISF match exactly to the bill type(s) and bill number(s) submitted in AMS for manifest purposes. A bill that is improperly submitted in the ISF will not receive a 'BILL ON FILE' message.

While bonds are not currently required for ISF, CBP would like to emphasize that the bond data must be submitted properly beginning January 26, 2010. A bond type 9 (single transaction bond) may only be used with a bond activity code 16 (ISF bond) for a stand alone ISF. A bond type 9 may NOT be submitted with a bond activity code 01 for a stand alone ISF. Any stand alone ISF with the 01/9 combination will be rejected beginning January 26, 2010.

If you have any questions, please contact your assigned Client Representative or call 571-468-5500.

See also, CSMS# 10-000038, issued on February 7, 2010, for additional information pertaining to the implementation of 10+2 (ISF and Customs Response Message (CUSRES)) programming.



ACE M1 Implementation Guidelines Available – Ocean and Rail

With the anticipated migration of the Ocean and Rail Automated Manifest System to ACE (referred to as M1) currently scheduled for later this year, programming modifications will be required. Implementation Guidelines (IGs) have been posted for the Customs Automated Manifest Interface Requirements (CAMIR) formats including all the Appendices. In addition, a complete set of ANSI X12 Transactions Sets used by CBP in the ocean and rail environment are available.

Changes include:

- Any time a Harmonized Tariff Schedule (HTS) number is required or requested, it will be validated against the HTS table to ensure its validity.
- “Place of Receipt” by carrier has been made a mandatory element for M1.
- The manifest “unit of measure” will be validated in both modes of transportation (currently only in rail).
- M1 contains new edits for “Hazardous Material Code Qualifier,” “Flashpoint Temperature Unit of Measure” and “Negative Indicator.”

New data elements include:

- The “Unique Voyage Indicator” can be reported in the original manifest submission (309/MI).
- The ocean carrier has the ability to report a customs broker which will generate a broker download to the broker. This functionality is the same as that which is available in Rail and Truck AMS today.
- An optional quantity field was added to the “Permit To Transfer”, (PTT), (TI/356) transaction set to allow a PTT to be reported at less than full bill quantity. If no quantity is provided, the application defaults to full bill quantity.

The IGs for Ocean and Rail AMS are available on the CBP website at the following location:

http://www.cbp.gov/xp/cgov/trade/automated/modernization/ace_edi_messages/

If you have any questions, please contact your assigned Client Representative or call 571-468-5500.



ACE Tips & Tricks

Things to remember when running ACE reports

- Check the following prompts to enable file downloads when trying to save your report to your computer in an Excel format: Go to “Internet Explorer,” “Tools,” “Internet Options,” “Security,” “Custom Level,” “Downloads,” “Automatic prompting for file downloads” and select “Enable.” Also enable “File download” and “Font download.”
- Change the portal view from “Broker” to the correct account type and to select “GO” before selecting the reports hyperlink and launching the reporting tool.
- Change the “include entries with no entry date” default when running Account Management reports to view the most recent entry summaries.
- Add your filer code, importer of record number, carrier code and surety code to either the “Result Objects” or “Query Filters” panel when creating a report from scratch or adding a query to an existing report.
- Update the creation date so the appropriate time period is reflected in the extract when scheduling an authorized data extract report.
- Multiple values can be entered into the prompts window to narrow report criteria.
- Save customized reports to “My Folders.”
- Remove unnecessary data objects to maximize performance before running a report.
- All reports remain in the “inbox” until deleted.
- When running a modified report select the refresh button to view the IR number prompts.
- Some reports may have both an Importer and a Broker tab that yield separate data reports. Although one tab may not reveal any data, the other tab may contain data.
- When running a report under “Modify” the hyperlinks in the report will not work. To activate the hyperlinks you must save the customized report to your “Favorites” and then run the report.