



Simplified Entry Process

In the fall of 2010, Commissioner Alan Bersin issued a call for both industry and government to consider how import trade processes could be re-engineered to meet the technological needs of the 21st century. Today entry, summary and financial requirements are misaligned with industry resulting in a lack of uniformity, increased costs and inefficient trade facilitation and enforcement. U.S. Customs and Border Protection (CBP) established a workgroup made up of government and industry representatives to examine how import processes could be simplified to reduce costs for both the government and the trade community.

The Simplified Processes Workgroup is proposing a model that would reduce filings involved in obtaining release for low risk companies as well as decrease release timeframes by satisfying CBP requirements and obtaining release preferably before arrival. The new model would better align summary requirements with importer business models and link summary and financial submissions. CBP is proceeding by splitting the work into two tracks: Track #A: Simplified Entry and Track #B: Simplified Summary and Financial.

Simplified Entry data elements to satisfy security and trade concerns include:

Importer of Record number	HTS 10-digit
Buyer name and address	Country of Origin
Buyer EIN number	Bill of lading/House Airway Bill
Shipper name and address	Entry Number
Ship to party name and address	Container stuffing location (mode specific)
Manufacturer/Supplier name and address	Estimated Total Shipment Value

Initial participation for the Simplified Entry test will be open to Customs Trade Partnership Against Terrorism (C-TPAT) Tier 2 and above. The prototype application process will be announced via a Federal Register Notice. As envisioned, the first iteration would be for ACE entry summaries types 01 and 11 filed under a continuous bond with no other government requirements associated with the entry and no in-bond movements. Additional requirements will be further defined in the Federal Register Notice.

Questions should be sent to: cbpsimplifiedprocess@dhs.gov. Additional information will be provided when it becomes available.

ACE Customer Satisfaction Survey

The 2011 ACE Customer Satisfaction Survey is now available and your VOLUNTARY feedback is requested! Trade community ACE portal users can access the survey at:

<http://www.surveymshare.com/s/AQAWIZD>



Please remember that your response is VOLUNTARY and completely anonymous. The survey should take approximately 5-10 minutes to complete, depending upon the level of detail in your comments. The survey will be available through Wednesday, October 19, 2011.

For assistance, please contact FoundationBusinessOutcomes@cbp.dhs.gov.

Recent ACE Updates

Please note you may need to copy and paste the URLs below into your internet browser.

Entry Bank User Guide Now Available

CBP has posted a new user guide to CBP.gov. The *Entry Bank User Guide* provides step by step instructions for brokers on creating and maintaining rail entry banks via the ACE Portal. Self-filers will need to contact their Client Representative to add Rail Line Release Entry Banks. Use the following URL to access the user guide:

http://www.cbp.gov/xp/cgov/trade/automated/modernization/ace_welcome/ace_welcome_package/

Removal of Shared Reports Folder

Previous communications have identified access to the Shared Reports folder as one of the many benefits of the e-Manifest: Rail and Sea (M1) release. Details on the Shared Reports folder are also included in all Running ACE Reports user guides and the Web Based Training on e-Manifest: Rail and Sea. Since the deployment of e-Manifest: Rail and Sea functionality, CBP has discovered an issue related to the Shared Reports folder. Users will not have access to the folder until the issue is resolved. A CSMS will be issued when access to the folder becomes available.

Courtesy Notice of Liquidation Report

Importers may now run the new AM-100 Courtesy Notice of Liquidation report. Earlier CSMS messages (CSMS 11-000221 and CSMS 11-000225) and a Portal News tab posting requested that users wait until the data load completed before running the report or using data elements available in the Liquidation Header Details sub-folder. The data load has been completed and the AM-100 report is available for importers to run. Please refer to CSMS 11-000226 for additional instructions and information on the AM-100 report.

REMINDER: Courtesy Notices are no longer being mailed for electronically filed entry summaries. The new AM-100 report contains the information previously mailed to you on the CF 4333A.

September 2011 Trade Support Network Presentations Posted

Highlights of the September 2011 Trade Support Network (TSN) Plenary Session are now available on the CBP website at:



http://www.cbp.gov/xp/cgov/trade/automated/modernization/trade_support_network/session_highlights/september_2011_highlights/

The highlights include presentations given by CBP, Animal & Plant Health Inspection Service, Food & Drug Administration, Food Safety Inspection Service, U.S. Coast Guard and the TSN Trade Leadership Council (TLC).

Implementation Guide for Document Image System Posted

The implementation Guide for the Document Image System (DIS) and related documents are now available on the CBP website at:

http://www.cbp.gov/xp/cgov/trade/automated/modernization/ace_edi_messages/catair_main/abi_catair/catair_chapters/document_imaging_igs/

Please contact your Client Representative if you are interested in testing this functionality.

Updated Draft Implementation Guide for PGA Message Set Posted

An updated version of the draft Implementation Guide chapters for the Participating Government Agency (PGA) Message Set and its associated Code Tables (Appendix T) are now available on the CBP website at:

http://www.cbp.gov/xp/cgov/trade/automated/modernization/ace_edi_messages/catair_main/abi_catair/catair_chapters/

Updates to What's New with ACE?

The following new updates have been posted to "What's New with ACE" on CBP.gov:

- "ACE Entry Summary Filings": This notice answers questions on filing entry summary transactions in ACE.
- "Information Notice – Courtesy Notice of Liquidation Report": This notice provides instructions on locating and running the new AM-100 report as well as information on customizing the report.
- "Cargo Release": These notices provides information on cargo release, the next planned development for ACE.

http://cbp.gov/xp/cgov/trade/automated/modernization/whats_new/

Trade Outreach Webinars Posted

The following new "Trade Outreach Webinars" have been posted to CBP.gov:

- "CBP Role of the Broker"
- "CBP Account Management Restructuring"
- "ACE Post Summary Corrections"

http://www.cbp.gov/xp/cgov/trade/trade_outreach/outreach_webinar.xml



Tips when Running the Courtesy Notice of Liquidation Report and Using the Liquidation Header Details Sub-folder

Running the AM-100 Report

1. The AM-100 report will display the active or current liquidation information. To view the liquidation history of an entry summary the user will need to create a report from scratch. (See below for additional information on creating a report from scratch.)
2. The AM-100 report does not include entry types 11 and 12.
3. Extensions and suspensions will continue to be printed and mailed to the appropriate parties.
4. If the user changes the date range in the report and forgets to change the “*Most Recent Cycle Prompt*” from “Yes” to “No,” the report will ignore the date range and display the most recent cycle.
5. Liquidation updates will occur nightly. The standard report will only display changes in the next two week cycle. Thus if a new liquidation was processed that falls in the next two week cycle, it would then appear in the report. If the liquidation was unset or had an update that changed the liquidation date to something other than the next two week cycle, it would disappear from the standard report.
6. The Bill/Refund Number field defaults to “0” if no bill or refund is associated with the entry summary.

Modifying Aggregate and Detail Entry Summary Reports

1. When modifying AM 008, for example, with any object from the “*Liquidation Header Details*” sub-folder, users must also add the “*Importer Name*” **or** “*Importer Number*” from the “*Liquidation Header Details*” sub-folder to receive results. Failure to add the “*Importer Name*” **or** “*Importer Number*” will result in a data base error. (Note: Even if the report already contains the Importer Name and Importer Number, the user still must add one of the two data elements from the “*Liquidation Header Details*” sub-folder.)
2. Due to the way the “*Entry Report*” and “*Liquidation Header Details*” tables are combined, when a user brings any data object from the “*Liquidation Header Details*” sub-folder into the report (for example AM 008), the results will change to reflect only those entry summaries that are contained in the original AM 008 report and are active liquidation entry summaries. As a result, all entry types will no longer appear in the AM 008 report. Entry types 11 and 12 will be dropped along with warehouse entries and other entry types for which a courtesy notice is not sent.
3. When modifying AM 008 or ESM 7008 with metrics (data objects with pink dots) such as liquidated amounts or liquidation fee breakouts from the “*Liquidation Header Details*” sub-folder, those values will appear for each line item. Users should NOT sum metrics added from the “*Liquidation Header Details*” sub-folder.
4. When modifying AM068 or ESM7068 with metrics (data objects with pink dots) from the “*Liquidation Header Details*” sub-folder, the resulting values will be overstated if there are multiple line items for the entry summary. For example if the



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“*Liquidation Amount*” is \$5,000 and there are 50 lines on the entry summary, the report will display \$250,000 for the liquidation amount. CBP is aware that the resulting value data is incorrect and is working to correct it. All other data objects in the new sub-folder (attributes with blue squares) will produce accurate results.

5. Values from the “*Liquidation Header Details*” sub-folder will appear as whole dollars when added to the AM-008, AM-068, ESM-7008 or ESM-7068 reports. Users will need to format the columns to applicable format for currency. To do that the users needs to highlight the column, right click the mouse and select the “*Format Number Option.*” When the prompt box appears, the user needs to select “*Currency*” and the applicable format.
6. The new Date Objects are available to use on all reports.

Creating a Report from Scratch Using the Liquidation Header Details Report

1. To view the liquidation history of an entry summary, the user will need to create a report from scratch.
2. If they create a report using data object only from the “*Liquidation Header Details*” sub-folder:
 - a. Always remember to include the “*Active Liquidation Indicator*” and the “*Liquidation Sequence Number*” in the report so that you know the latest liquidation information associated with the entry summary.
 - b. Users must add the “*Importer Number*” to the report. This is a requirement for all reports created from scratch.
 - c. Values from the “*Liquidation Header Details*” sub-folder will appear as whole dollars. Users will need to format the columns to currency. To do that the user needs to highlight the column, right click the mouse and select the “*Format Number Option.*” When the prompt box appears, the user needs to select “*Currency*” and the applicable format.
 - d. When creating the *report from scratch* the “*Active Liquidation Indicator*” does not default to “Yes” so the user will see all entry types. Entry types for which a courtesy notice is not mailed will have a blank field shown in the report for the “*Cycle Begin*” and “*Cycle End*” dates.
 - e. When *modifying the report* created from scratch to include data elements from the “*Entry Report*” folder, the user will be required to add the “*Importer of Record Number*” to the report to view results. Failure to add the IR number will result in a database error.
 - f. When any data element is added from the “*Entry Report*” folder, the new report changes to reflect only entry summaries for which courtesy notices were mailed. Thus the user loses the liquidation history for the entry summary as well as entry types 11 and 12, warehouse entries and any other entry type for which a courtesy notice is not sent.
 - g. Modifying the report to include metrics from the “*Entry Report*” folder will result in values from the “*Liquidation Header Details*” sub-folder being overstated if there are multiple line items on the entry summary.



ACE News: Document Image System and PGA Message Set

To promote the filing of transactions and expedite the transition to the Automated Commercial Environment (ACE), CBP has determined that access to the capabilities within the Document Image System (DIS) and the Participating Government Agency (PGA) Message Set will be available to **ACE entry summary filers** and for **ACE entry summaries** only. This includes ACE entry summaries certified for release.

CBP will announce the specific terms of the DIS test, including the CBP and PGA forms to be included, in a Federal Register Notice. The implementation Guide for DIS and related documents are now available on the CBP website at:

http://www.cbp.gov/xp/cgov/trade/automated/modernization/ace_edi_messages/cat_air_main/abi_catair/catair_chapters/document_imaging_igs/

Further details on the implementation of the PGA Message Set will be made available by CBP and the PGAs prior to implementation.

Attention All Recipients of Importer Security Filing Progress Reports

PLEASE BE ADVISED that CBP will no longer send emailed Progress Reports after the December, 2011 reports. As of today, your reports may be obtained (and after December MUST be obtained) through the ACE Portal. Information about the ACE Portal and obtaining a Portal Account is posted to CBP.gov:

http://cbp.gov/xp/cgov/trade/automated/modernization/ace_app_info/

Attention All ACE Entry Summary Filers

Effective September 22, 2011, CBP will no longer accept a Post Entry Amendment to correct entry summary filed in ACE. Filers must file amendments via the use of ACE Post Summary Corrections.

Upcoming Change to Data Visibility for Post Summary Corrections

Post Summary Correction capabilities that were deployed for use on June 4, 2011, included the ability for a different filer (PSC filer) to file a post summary correction once authorized by the importer of record. The original filer or previous filer does not have visibility to all the data in that transaction. Only limited data is returned in the ACE ABI ES Query and ACE reports.

CBP is preparing a GIF to change that such that for PSC filings, all data that is made available to the owner (the most recent filer) will be made available to the original and previous filer(s) that are a part of the transaction when performing the ACE ABI ES Query and ACE reports. There will be no limited data returned to the filers that are a part of the transaction.



Your Questions:

Do you have a definition for the Paid Amounts versus the Liquidation Amounts on the new AM-100 Courtesy Notice of Liquidation report? They appear to be the same most all of the time but can they be different?

When the liquidation type is “no change,” the entry is liquidated for the amounts paid. When the liquidation type is “bill,” “re-liquidation bill,” “refund” or “re-liquidation refund” the report will show a difference between the liquidation and paid amounts.

When running AM 007 for 2010, what does INCO in the Manufacturer Name column mean? There is nothing listed in the Manufacturer Code column.

The transaction which sends data from ACE to ACS for ACE entry summaries omitted the MID for entry types 01 and 11 from the beginning of entry summary filing in ACE (April 2009) until the issue was fixed on 10/31/10. That is the reason the Manufacturer Code column is blank.