



Are You Ready? The 60 Day Countdown Has Begun!



As of July 26, 2012, 96% of ocean carriers and 83% of ABI software developers impacted by the required transition to M1 have either completed or are in the on-boarding process. If you are part of the 4% of the ocean carriers or the 17% of the ABI software developers who are **NOT** yet testing ACE e-Manifest: Rail and Sea, **NOW** is the time to contact your Client Representative for assistance.

Simplified Entry Update

As of July 25, 2012 over 3,000 Simplified Entries have been successfully filed by pilot participants at the three pilot ports of Atlanta, Chicago and Indianapolis. Simplified Entries have been filed for over 230 different importers. U.S. Customs and Border Protection (CBP) and the Pilot Participants will conduct an evaluation after 60 to 90 days to assess the pilot. Plans for expansion are moving forward. CBP is finalizing plans to expand to additional airports using a regional approach. CBP will be issuing a second Federal Register Notice to announce the expansion of the pilot to additional participants. Lastly, CBP is looking for opportunities to add new functionality to the pilot including incorporation of the Participating Government Agency (PGA) Message Set and the use of the Document Image System (DIS).

For information on further development and updates on the status of the pilot, please check the CBP website at:

http://www.cbp.gov/xp/cgov/trade/trade_transformation/simplified_entry/

Please note you may need to copy and paste the URL into your internet browser.

Information for Warehouses, Freight Stations and Terminals

On May 29, 2012, CBP accepted the first Simplified Entries from the pilot participants at the ports of Atlanta, Chicago and Indianapolis. Under the Simplified Entry pilot, initially deployed in the Air mode of transportation, the carrier will submit the manifest/Air Cargo Advance Screening (ACAS) security filing and the importer will submit the Simplified Entry data set. The Simplified Entry data set consists of 12 required and three optional data elements and replaces the 27 data elements required on the CBP Form 3461.



Since Simplified Entry is considered a paperless program, pilot participants are not required to submit the CBP Form 3461. The Simplified Entry is only accepted electronically. Thus, CBP will not be creating courtesy copies or otherwise stamping forms. CBP does, however, recognize that a paperless environment will pose challenges to non-automated entities such as consolidated freight stations and terminals that do not receive electronic releases from CBP.

Consequently, in order to support the pilot, CBP will allow non-automated facilities, on an interim basis, to release cargo based on screen printouts presented to them by filers (importers, brokers, etc.). The printout should have at a minimum, the shipment ID and quantity being released, the type of release, as well as a clear identification of who presented the release information. For audit purposes, this will satisfy due diligence on behalf of the non-automated facility. In the event that a filer releases cargo from a non-automated facility in error (i.e., the cargo was not releasable or was on "hold"), CBP will seek remedy from the party who provided such information to the non-automated facility. Record retention guidelines will also apply.

It is also important for all parties to understand this is an interim process and that in order to effectively operate in an increasingly paperless environment, non-automated facilities are strongly encouraged to automate as functionality is delivered in the Automated Commercial Environment (ACE).

Clarification of PTT 356 Error Message

If you encounter an invalid bond error message for a Permit to Transfer (PTT) submission for a move to a Foreign Trade Zone (FTZ) facility, and this move is to be on the Zone Operator's bond, the following must be confirmed: (1) the Importer of Record (IOR) number used in the PTT transmission is the IOR# of the FTZ Operator; and, (2) the IOR# is associated with an active Type 4 FTZ bond.

Background:

Normally only a bonded carrier or bonded cartman is allowed to move cargo on a PTT. The exception to this rule is that if the PTT is for a move to a foreign trade zone, the FTZ Operator may move the goods under his Type 4 FTZ Operator's bond.

ACE Portal Mode of Communication

Authorized users can view and respond to CBP forms through the ACE Secure Data Portal if the ACE account has selected portal as their "Mode of Communication." The modes of communication, "Mail" and "Portal," can be selected at either the top account level or the sub-account (importer of record) level. If the mode is selected at the top account level, then all forms pertaining to the top account and any sub-account will be sent by the "Mode of Communication" selected.

When a CBP Form 28, 29 or 4647 is created in ACE, the way you will receive your form is dependent on your "Mode of Communication." If you have selected "Portal" as your "Mode of Communication" or "Portal" and "Mail" and the form was created in ACE, the



form will be posted to your ACE Account for you to view. If your “Mode of Communication” is set as “Mail,” you will receive a paper copy sent via the U.S. Postal Service. In addition, if the form is created outside of ACE, you will receive a paper copy sent via the U.S. Postal Service. Brokers will receive their courtesy copy as they do today.

Modifying AM-008, AM-068, ESM-7008 and ESM 7068 Reports

Users are able to modify AM-008 (Entry Summary Line Detail), AM-068 (Entry Summary), ESM-7008 (Entry Summary Line Detail) and ESM-7068 (Entry Summary) reports to include objects from the “*Liquidation Header Details*” sub-folder. The “*Liquidation Header Details*” sub-folder is located under the Account Management (AM) Universe, the “*Entry Report*” folder for AM reports. The sub-folder is located under the Entry Summary Universe, the “*Summary Header/Header*” sub-folder for ESM reports.

When modifying the Account Management or the Entry Summary reports to include objects from the “*Liquidation Header Details*” sub-folder, users must also add the “*Importer Name*” or the “*Importer Number*” from the “*Liquidations Header Details*” sub-folder to the report being modified. **Failure to add the “*Importer Name*” or “*Importer Number*” from the “*Liquidation Header Details*” sub-folder to the report being modified will result in a database error.**

The way that “*Entry Report*” and “*Liquidation Header Details*” tables are combined when a user brings any data object from the “*Liquidation Header Details*” sub-folder into the report (for example, AM-068), the results will change to reflect only entry summaries that are contained in the original AM-068 report and are active liquidation entry summaries. This means that all entry types will no longer appear in the AM-068 report. Entry types 11 and 12 will be dropped as will warehouse entries and other entry types for which a courtesy notice was not sent.

Recent ACE Production Fixes

On July 28, 2012, the following fixes were moved into ACE production:

ESM 7025, CBP Form 28, 29, 4647 Status Report

Issue: When “ALL” was chosen for the “*Type of Notice*” prompt, no report results were returned. Results are now returned.

ESM 7008, Entry Summary Line Detail Report

Issue: The “*User Fee Amount*” was only displayed with line one on the entry summary. The “*User Fee Amount*” should now display with all lines on the entry summary.

Missing Data in Reports

Issue: Data elements were missing from the ACE data warehouse resulting in missing MIDs and countries of origin (for example, in Account Management reports). That issue has now been resolved for data going forward but the fix will not correct missing historical data in ACE reports.



AD/CVD Message Search

Issue: When AD/CVD message results displayed on the portal, users were not able to sort the “*Type/sub-type*” and “*Period of Review*” columns. All columns can now be sorted when message results are displayed.

Recent ACE Updates

Please note you may need to copy and paste the URLs below to your internet browser.

Revised ACE CATAIR Documents Posted

Revised ACE Customs and Trade Automated Interface Requirements (CATAIR) documents have been posted to the CBP website. For additional information, please refer to **CSMS# 12-000254**.

DIS Frequently Asked Questions Posted

CBP has posted Document Image System (DIS) Questions and Answers to CBP.gov under What’s New with ACE. Use the URL listed below to access the DIS Q&A document.

http://www.cbp.gov/xp/cgov/trade/automated/modernization/whats_new/

NMFS Looking for Volunteers for the Document Image System Trial

The National Marine Fisheries Service (NMFS) is seeking volunteers to participate in the document imaging trial. For additional information, please refer to CSMS# 12-000276.

Your Questions:

Why am I seeing an “*incompatible objects*” message when I attempt to add “*Consignee Name*” and “*Manufacturer Name*” to the AM 003 report?

When modifying any ACE report, you need to ensure you are adding the data objects from the correct sub-folder. AM 003 is an Entry Summary report; thus any data object you add to the report should be pulled from the “*Entry Report*” sub-folder. If, for example, you try to add “*Consignee Name*” and “*Manufacturer Name*” from the “*Cargo Entry Report*” sub-folder, when you add the data objects to the report you will receive the “*incompatible objects*” error message.

Is CBP planning on expanding the export manifest pilot?

Yes, CBP has plans to expand the Automated Export Manifest pilot using DIS. The export manifest pilot began on March 28, 2012. The pilot is taking place at the Atlanta field office and includes the ports of Norfolk, Newport News, Wilmington, Beaufort-Morehead City, Georgetown, Charleston, Savannah and Brunswick. Eighteen pilot



test carriers, representing fifty-six percent of the total containerized U.S. export volume, and including the top three U.S. export carriers, are participating in this pilot. CBP is in the process of expanding this pilot to additional participants and ports. The pilot will be expanded to the rest of the country in 3 phases:

- Phase I – All Florida and Gulf Ports of export to Brownsville, Texas, including Puerto Rico. Late July - early August.
- Phase II – All ports from Baltimore north including the Great Lakes to Chicago. Late August.
- Phase III – The entire West Coast including Hawaii & Alaska. Late August – early September.

Can I use Remote Location Filing (RLF) with ACE entry summaries?

Yes, there is a misconception by some Automated Broker Interface (ABI) filers the RLF cannot be used with ACE entry summaries. Please refer to the Business Rules and Process Document (Trade) posted to CBP.gov which states that Electronic Invoice Program (EIP) and RLF are available for ACE filed entry summaries, entry types 01 and 11. The URL for the Business Rules and Process Document (Trade) is:

http://www.cbp.gov/xp/cgov/trade/trade_programs/entry_summary/ace/

Later this fall, CBP will be deploying enhancements to the EIP and RLF programs which will allow Antidumping (AD)/Countervailing (CVD) consumption entries, entry type 03, to be filed in ACE using RLF. As soon as we have a firm date for the implementation, a CSMS will be issued on the enhancements to the EIP and RLF programs. It should be noted that this enhancement will NOT apply to AD/CVD entry summaries filed in the Automated Commercial System (ACS).