

# CBP and Trade Automated Interface Requirements

USDA APHIS Lacey Act Samples

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U.S. Customs and  
Border Protection



Table of Changes

Revision Number	Date of Change	Brief Description of Change
2.8	23JUL15	<p>PG04 and PG29: PG29 follows PG04 and the combination is a wrapper for the unknown species/unknown countries.</p> <p>PG14: Type Code, A8, PPQ505 is deprecated and not required.</p> <p>PG21 and PG22: PG21 can lead or follow PG22, use the most convenient for you.</p> <p>PG02: PG02 C is allowed but the use should be considered as uncommon.</p>
2.8.1	2AUG15	Correct Example 7 to PG02 C
2.9	13AUG15	<p>Discontinue use of PG29;</p> <p>Delete PG29 from samples;</p> <p>Reconfigure samples to demonstrate concepts for use without PG29 in examples of unknown species or country of harvest;</p> <p>Add example of multiple PG05's with one PG06;</p> <p>Add PG24;</p> <p>Swap PG21/PG22;</p> <p>Delete example of component code.</p>

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## Introduction

The APHIS Lacey Act ACE message set samples are for demonstration of the APHIS Lacey Act message set and are not the definitive or complete set of examples. The cases presented are representative of cases developed during talks with developers and brokers during the development of the APHIS Lacey Act message set.

### Example 1: Simple Declaration, One Product many Species

Example 1 is an entry of used railroad ties with only one CBP line item. Each tie is made of maple or oak. There are 100 kg of *Acer saccharum* from Canada, 200 kg of *Quercus rubra* from Canada, 300 kg of *Quercus alba* from Canada, 400 kg of *Acer saccharum* from the U.S., 500 kg of *Quercus rubra* from the U.S., and 600 kg of *Quercus alba* from the U.S. The value of the PGA line is \$10,000, and the shipment is in two containers.

```
00  H5001CA 4407930010ZZABCTEC123XXX
01  OI    USED RAILROAD TIES
02  PG01 001  APHAPL
03  PG02 P
04  PG10 USED MAPLE AND OAK RAILROAD TIES
05  PG22 IM  AP6  Y    12182014
06  PG21 IM  JOHN Q IMPORTER      3015552232  JQI@imp.com
07  PG24 Willoughby
08  PG25 10000
09  PG27 WHXU4101474      WHXU4101475
10  PG04 Maple Ties      100  KG    000000
11  PG05 ACER          SACCHARUM
12  PG06 HRV  CA
13  PG04 Oak Ties      200  KG    000000
14  PG05 QUERCUS      RUBRA
15  PG06 HRV  CA
16  PG04 Oak Ties      300  KG    000000
17  PG05 QUERCUS      ALBA
18  PG06 HRV  CA
19  PG04 Maple Ties      400  KG    000000
20  PG05 ACER          SACCHARUM
21  PG06 HRV  US
22  PG04 Oak Ties      500  KG    000000
23  PG05 QUERCUS      RUBRA
24  PG06 HRV  US
25  PG04 Oak Ties      600  KG    000000
26  PG05 QUERCUS      ALBA
27  PG06 HRV  US
```

## Example 2: Simple Declaration, Three Products One Species

Example 2 is an entry of pine desks with only one CBP line item and has three PGA line items representing 3 different styles of desk. All three PGA lines are under the same OI record (same commercial description).

- 1) First PGA line are desks made of pine (*Pinus taeda*), with a quantity is 100 cubic meters, harvested in Canada, and valued at \$10,000.
- 2) Second PGA line are desks made of pine (*Pinus taeda*), with a quantity is 200 cubic meters, harvested in Canada, and valued at \$20,000.
- 3) Third PGA line are desks made of pine (*Pinus taeda*), valued at \$30,000, with a quantity of 300 cubic meters harvested in the United States and 400 cubic meters harvested in the Canada.

### Section 1.1

```
Text
00 H5001CA9403308000ZZABCTEC123XX

01 OI   Wooden Desk
02 PG01 001  APHAPL
03 PG02 P
04 PG10 Wooden Desk Model 0415
05 PG22 IM   AP6  Y   12182014
06 PG21 IM   JOHN Q IMPORTER      3015552232  JQI@imp.com
07 PG24 Willoughby
08 PG25 10000
09 PG04 Pine Desk Tops Legs Side Drawers      100  M3  000000
10 PG05 Pinus Taeda
11 PG06 HRV  CA
12 PG01 002  APHAPL
13 PG02 P
14 PG10 Wooden Desk Model 0522
15 PG22 IM   AP6  Y   12182014
16 PG21 IM   JOHN Q IMPORTER      3015552232  JQI@imp.com
17 PG24 Willoughby
18 PG25 20000
19 PG04 Pine Desk Tops Legs Side Drawers      200  M3  000000
20 PG05 Pinus Taeda
21 PG06 HRV  CA
22 PG01 003  APHAPL
23 PG02 P
24 PG10 Pine Desk Model 0553
25 PG22 IM   AP6  Y   12182014
26 PG21 IM   JOHN Q IMPORTER      3015552232  JQI@imp.com
27 PG24 Willoughby
28 PG25 30000
29 PG04 Pine Desk Tops Drawers      300  M3  000000
30 PG05 Pinus Taeda
31 PG06 HRV  US
32 PG04 Pine Desk Tops Legs Side      400  M3  000000
33 PG05 Pinus Taeda
34 PG06 HRV  CA
```

### Example 3: Unknown Species Breakdown

**This sample is not common and requires the exercise of due care to determine applicability.**

Example 3 is an entry of softwood lumber made of three species of pine with a quantity of 300 cubic meters.\* After exercising due care the importer cannot determine specifically how much of each species exists in this shipment, however, they know that 100 M3 was harvested in the United Kingdom and 200 M3 was harvested in France. The shipment is valued at \$30,000 and is shipped in one container.

\*The statute requires a unique quantity for each species/country pairing.

00	H5001CA4403200008AFABCTEC123FAL			
01	OI	Softwood Pulpwood		
02	PG01	001 APHAPL		
03	PG02	P		
04	PG10	European Union Pine Pulpwood		
05	PG22	IM AP6 Y 12182014		
06	PG21	IM JOHN Q IMPORTER	3015552232	<a href="mailto:JQI@imp.com">JQI@imp.com</a>
07	PG24	Willoughby		
07	PG25	30000		
08	PG27	WHXU4101474		
09	PG04	UK Pine Pulpwood	33 KG	000000
10	PG05	Pinus Taeda		
11	PG06	HRV GB		
12	PG04	UK Pine Pulpwood	33 KG	000000
13	PG05	Pinus Rigida		
14	PG06	HRV GB		
15	PG04	UK Pine Pulpwood	34 KG	000000
16	PG05	Pinus Echinada		
17	PG06	HRV GB		
18	PG04	French Pine Pulpwood	33 KG	000000
19	PG05	Pinus Taeda		
20	PG06	HRV FR		
21	PG04	French Pine Pulpwood	33 KG	000000
22	PG05	Pinus Rigida		
23	PG06	HRV FR		
24	PG04	French Pine Pulpwood	34 KG	000000
25	PG05	Pinus Echinada		
26	PG06	HRV FR		

### Example 3a: Unknown Species Breakdown

**This sample is not common and requires the exercise of due care to determine applicability.**

Example 3 is an entry of softwood lumber made of three species of pine with a quantity of 300 cubic meters.\* After exercising due care the importer cannot determine specifically how much of each species exists in this shipment, however, they know that 100 M3 was harvested in the United Kingdom and 200 M3 was harvested in France. The shipment is valued at \$30,000 and is shipped in one container. This example provides the use of multiple PG05 for one PG06.

\*The statute requires a unique quantity for each species/country pairing.

00	H5001CA4403200008AFABCTEC123FAL				
01	OI	Softwood Pulpwood			
02	PG01	001 APHAPL			
03	PG02	P			
04	PG10	European Union Pine Pulpwood			
05	PG22	IM AP6 Y 12182014			
06	PG21	IM JOHN Q IMPORTER	3015552232	<a href="mailto:JQI@imp.com">JQI@imp.com</a>	
07	PG24	Willoughby			
07	PG25	30000			
08	PG27	WHXU4101474			
09	PG04	UK Pine Pulpwood	100 KG		000000
10	PG05	Pinus Taeda			
11	PG05	Pinus Rigida			
12	PG05	Pinus Echinada			
13	PG06	HRV GB			
14	PG04	French Pine Pulpwood	200 KG		000000
15	PG05	Pinus Taeda			
16	PG05	Pinus Rigida			
17	PG05	Pinus Echinada			
18	PG06	HRV FR			

### Example 4a: Unknown Country Breakdown

**This Sample is not common and requires the exercise of due care to determine applicability.**

\*The statute requires a unique quantity for each species/country pairing. APHIS guidance states that in the case where the country is unknown (after the exercise of due care) and the total number of possible countries is 10 or more, then the filer may use “\*\*” in lieu of the Country of Harvest.

Example 4a is an entry of softwood lumber with only one CBP line item and has two PGA line items. Both PGA lines are under the same OI record (same commercial description).

This line is lumber made of a species of pine, quantity is 200 cubic meters divided by the three possible countries of harvest, each will be assigned a quantity of 66 M3, (after exercising due care the importer cannot determine specifically how much of each species was harvested in each country). The line is valued at \$20,000, and is in one container. 00 H5001CA4403200008ZZABCTEC123XX

01	OI	Softwood Pulpwood					
02	PG01	001	APHAPL				
03	PG02	P					
04	PG10	European Union Softwood Pulpwood					
05	PG22	IM	AP6 Y	12182014			
06	PG21	IM	JOHN Q IMPORTER	3015552232	<a href="mailto:JQI@imp.com">JQI@imp.com</a>		
07	PG24	Whilloughby					
08	PG25	20000					
09	PG27	WHXU4101474					
10	PG04	Pine Pulpwood Unknown Country Breakdown	66 M3			00000	
11	PG05	Pinus Echinada					
12	PG06	HRV	GB				
13	PG06	HRV	FR				
14	PG06	HRV	DE				

## Example 4b: Unknown Country Breakdown

**This Sample is not common and requires the exercise of due care to determine applicability.**

\*The statute requires a unique quantity for each species/country pairing. APHIS guidance states that in the case where the country is unknown (after the exercise of due care) and the total number of possible countries is 10 or more, then the filer may use "\*\*\*" in lieu of the Country of Harvest.

Example 4b is an entry of softwood lumber with only one CBP line item and has two PGA line items. Both PGA lines are under the same OI record (same commercial description).

This line is lumber made of three species of pine (100 m3 each); it is unknown\* (after exercising due care) exactly where the pine was harvested. The country of harvest could have been one of eleven countries. This shipment is valued at \$30,000, and shipped in one container.

00	H5001CA4403200008ZZABCTEC123XX				
01	OI	Softwood Pulpwood			
02	PG01	002	APHAPL		
03	PG02	<b>P</b>			
04	PG10	European Union Softwood Pulpwood			
05	PG22	IM	AP6	Y	12182014
06	PG21	IM	JOHN Q IMPORTER	3015552232	<a href="mailto:JQI@imp.com">JQI@imp.com</a>
07	PG24	Willoughby			
08	PG25	30000			
08	PG27	WHXU4101474			
09	PG04	Pine Pulpwood Unknown Country	100	M3	00000
10	PG05	Pinus Echinada			
11	PG06	HRV	**		
12	PG04	Pine Pulpwood Unknown Country	100	M3	00000
13	PG05	Pinus Taeda			
14	PG06	HRV	**		
15	PG04	Pine Pulpwood Unknown Country	100	M3	00000
16	PG05	Pinus Rigida			
17	PG06	HRV	**		

## Example 5: Unknown Country and Species Breakdown

**This Sample is not common and requires the exercise of due care to determine applicability.**

Example 5 is an entry of softwood lumber with only one CBP line item and has three PGA line items. The PGA line is lumber made of three species of pine; it is unknown (after exercising due care) how much of the 300 cubic meters of pine represents each of the three species, though they were each harvested in Canada and United States. The quantity is divided between the PG06, Country of Harvest, multiple PG06 may follow a PG05. This shipment is valued at \$30,000, and shipped in one container.

\*The statute requires a unique quantity for each species/country pairing.

00	H5001CA4403200008ZZABCTEC123XX				
01	OI	Softwood Pulpwood			
02	PG01	001	APHAPL		
03	PG02	P			
04	PG10	North American Softwood Pulpwood			
05	PG22	IM	AP6	Y	12182014
06	PG21	IM	JOHN Q IMPORTER	3015552232	<a href="mailto:JQI@imp.com">JQI@imp.com</a>
07	PG24	Willoughby			
08	PG25	30000			
09	PG27	WHXU4101474			
10	PG04	Pine Pulpwood	50	M3	00000
11	PG05	Pinus Echinada			
12	PG06	HRV CA			
13	PG06	HRV US			
14	PG04	Pine Pulpwood	50	M3	00000
15	PG05	Pinus Taeda			
16	PG06	HRV CA			
17	PG06	HRV US			
18	PG04	Pine Pulpwood	50	M3	00000
19	PG05	Pinus Rigida			
20	PG06	HRV CA			
21	PG06	HRV US			

## Example 6: Special Use Designation

**This Sample is not common and requires the exercise of due care to determine applicability.**

Example 6 is an entry of products whose component species are difficult to determine. APHIS has created Special Use Designations (SUD's) to streamline the declaration requirement for cases where the importer has exercised their due care and concluded that the species is impossible to determine. This entry contains 2 products.

The first product was manufactured in 1955 (prior to the Lacey Act reporting requirement) and \*after the exercise of due care the importer could not determine the species.

The second product is a seat made of pine and particleboard and (after the exercise of due care) the importer could not determine the species. For the Pine portion of the chairs is made of 100 M3 of Pinus strobus. For the particle board portion of the chairs, the quantity of plant material harvested in Canada is 200 M3 and the quantity of plant material harvested in the United States is 300 M3.

\*The statute requires the species and country of harvest for each plant or plant product.

00	H5001CA9403704010ZZABCTEC123XX				
01	OI	Seats with Wood Frames			
02	PG01	001 APHAPL			
03	PG02	P			
04	PG10	Seats with Wooden Frames			
05	PG22	IM AP6 Y 12182014			
06	PG21	IM JOHN Q IMPORTER	3015552232	<a href="mailto:JQI@imp.com">JQI@imp.com</a>	
07	PG24	Willoughby			
08	PG25	7000			
09	PG04	Vintage Seats	100 M3	000000	
10	PG05	SPECIAL PREAMENDMENT			
11	PG06	HRV CA			
12	PG01	002 APHAPL			
13	PG02	P			
14	PG10	Seats with Wooden Frames			
15	PG22	IM AP6 Y 12182014			
16	PG21	IM JOHN Q IMPORTER	3015552232	<a href="mailto:JQI@imp.com">JQI@imp.com</a>	
17	PG24	Willoughby			
18	PG25	40000			
19	PG04	Pine veneer	100 M3	000000	
20	PG05	PINUS STROBUS			
21	PG06	HRV CA			
22	PG04	Particleboard base	200 M3	000000	
23	PG05	SPECIAL COMPOSITE			
24	PG06	HRV CA			
25	PG04	Particleboard base	300 M3	000000	
26	PG05	SPECIAL COMPOSITE			
27	PG06	HRV US			

## Example 8: Product-level Declaration

Example 8 is a product-level declaration of an entry of wooden side chairs.

The first line of chairs is made of a variety of different species with a two-species backing. There are 800 m3 of *Pinus radiata* from Russia, 500 m3 of *Betula platyphylla* from Russia, 600 m3 of *Pinus radiata* from Russia, 200 m3 of *Betula platyphylla* from Russia.

The second line of chairs is a fabric-covered seat containing another set of species. There are 22000 m3 of *Pinus radiata* from New Zealand, 40000 m3 of *Betula Alleghaniensis* from Canada. The fabric is made of synthetic (non-plant) material and does not require a Lacey Act declaration. The value of the PGA line is \$10,000, and the shipment is in one container.

```
00  H5001CA 9403704010ZZABCTEC123XX
01  OI    Wooden K.D Furniture
02  PG01 001  APHAPL
03  PG02 P
04  PG10 Wooden K.D Furniture
05  PG22 IM  AP6  Y    12182014
06  PG21 IM  JOHN Q IMPORTER      3015552232  jqi@imp.com
07  PG24 Willoughby
08  PG25 10000
09  PG04 Chair - Sides and Seat      1400  m3    000000
10  PG05 Pinus      radiata
11  PG06 HRV  RU
12  PG04 Chair - Back and Legs      700   m3    000000
13  PG05 Betula      platyphylla
14  PG06 HRV  RU
15  PG01 002  APHAPL
16  PG02 P
17  PG10 Wooden K.D Furniture
18  PG22 IM  AP6  Y    12182014
19  PG21 IM  JOHN Q IMPORTER      3015552232  jqi@imp.com
20  PG24 Willoughby
21  PG25 10000
22  PG27 WHXU4101474
23  PG04 Chair - Legs                22000 m3    000000
24  PG05 Pinus      radiata
25  PG06 HRV  NZ
26  PG04 Chair - Back                40000 m3    000000
27  PG05 Betula      alleghaniensis
28  PG06 HRV  CA
```

### Example 9: Declaration with a Permit

Example 9 is an entry of pine desks with only one CBP line item and one PGA line item. The desks are made of pine, with a quantity is 100 cubic meters, harvested in Canada, and valued at \$10,000. These desks have an inlay of 0.678 cubic meters of *Cedrela oderata* harvested in Bolivia. This is a CITES species that requires a permit. The permit number is: 000473

00	H5001CA9403308000ZZABCTEC123XX					
01	OI	Wooden Desk Pine				
02	PG01	001	APHAPL			
03	PG02	<b>P</b>				
04	PG10	Pine Desk Model 0415				
05	PG14	1	FWF	000473	1	05012015
06	PG22	IM	AP6	Y	12182014	
07	PG21	IM	JOHN Q IMPORTER		3015552232	JQI@imp.com
08	PG25	10000				
09	PG04	Desk sides top legs and frame		100	M3	000000
10	PG05	Pinus Taeda				
11	PG06	HRV CA				
12	PG04	Cedar Inlay CITES Protected		0.678	M3	000000
13	PG05	Cedrela oderata				
14	PG06	HRV BO				

### **Example 10: Disclaimer Declaration**

Example 10 is a disclaimed declaration for an entry of metal pianos. The Lacey Act Declaration is flagged for shipments of HTS 9201, however there is no plant material in product and therefore does not require any further PGA information under the Lacey Act.

00 H5001CA 920120000051ZZABCTEC123XX  
01 OI Cast Iron Grand Pianos  
02 PG01 001 APHAPL C