

CBP and Trade Automated Interface Requirements

USDA APHIS Lacey Act Samples

September 2015



U.S. Customs and
Border Protection



Table of Changes

Revision Number	Date of Change	Brief Description of Change
2.8	23JUL15	<p>PG04 and PG29: PG29 follows PG04 and the combination is a wrapper for the unknown species/unknown countries.</p> <p>PG14: Type Code, A8, PPQ505 is deprecated and not required.</p> <p>PG21 and PG22: PG21 can lead or follow PG22, use the most convenient for you.</p> <p>PG02: PG02 C is allowed but the use should be considered as uncommon.</p>
2.8.1	2AUG15	Correct Example 7 to PG02 C
2.9	13AUG15	<p>Discontinue use of PG29;</p> <p>Delete PG29 from samples;</p> <p>Reconfigure samples to demonstrate concepts for use without PG29 in examples of unknown species or country of harvest;</p> <p>Add example of multiple PG05's with one PG06;</p> <p>Add PG24;</p> <p>Swap PG21/PG22;</p> <p>Delete example of component code.</p>
2.9.1	16SEP15	<p>Change all sequence to full Parent Child. Add Example 3C. Modify examples of unknown country, unknown quantity to PG04, PG05, PG06 sequence. Update format to all caps.</p>

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Introduction

The APHIS Lacey Act ACE message set samples are for demonstration of the APHIS Lacey Act message set and are not the definitive or complete set of examples. The cases presented are representative of cases developed during talks with developers and brokers during the development of the APHIS Lacey Act message set.

Example 1: Simple Declaration, One Product many Species

Example 1 is an entry of used railroad ties with only one CBP line item. Each tie is made of maple or oak. There are 100 kg of *Acer saccharum* from Canada, 200 kg of *Quercus rubra* from Canada, 300 kg of *Quercus alba* from Canada, 400 kg of *Acer saccharum* from the U.S., 500 kg of *Quercus rubra* from the U.S., and 600 kg of *Quercus alba* from the U.S. The value of the PGA line is \$10,000, and the shipment is in two containers.

```
00 H5001CA 4407930010ZZABCTEC123XXX
01 OI USED RAILROAD TIES
02 PG01 001 APHAPL
03 PG02 P
04 PG04 MAPLE TIES 100 KG 000000
05 PG05 ACER SACCHARUM
06 PG06 HRV CA
07 PG04 OAK TIES 200 KG 000000
08 PG05 QUERCUS RUBRA
09 PG06 HRV CA
10 PG04 OAK TIES 300 KG 000000
11 PG05 QUERCUS ALBA
12 PG06 HRV CA
13 PG04 MAPLE TIES 400 KG 000000
14 PG05 ACER SACCHARUM
15 PG06 HRV US
16 PG04 OAK TIES 500 KG 000000
17 PG05 QUERCUS RUBRA
18 PG06 HRV US
19 PG04 OAK TIES 600 KG 000000
20 PG05 QUERCUS
21 PG06 HRV US
22 PG10 USED MAPLE AND OAK RAILROAD TIES
23 PG22 IM AP6 Y 12182014
24 PG21 IM JOHN Q IMPORTER 3015552232 JQI@IMP.COM
25 PG24 WILLOUGHBY
26 PG25 10000
27 PG27 WHXU4101474 WHXU4101475
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Example 2: Simple Declaration, Three Products One Species

Example 2 is an entry of pine desks with only one CBP line item and has three PGA line items representing 3 different styles of desk. All three PGA lines are under the same OI record (same commercial description).

- 1) First PGA line are desks made of pine (*Pinus taeda*), with a quantity is 100 cubic meters, harvested in Canada, and valued at \$10,000.
- 2) Second PGA line are desks made of pine (*Pinus taeda*), with a quantity is 200 cubic meters, harvested in Canada, and valued at \$20,000.
- 3) Third PGA line are desks made of pine (*Pinus taeda*), valued at \$30,000, with a quantity of 300 cubic meters harvested in the United States and 400 cubic meters harvested in the Canada.

Section 1.1

Text

```
00  H5001CA9403308000ZZABCTEC123XX
01  OI  WOODEN DESK
02  PG01 001  APHAPL
03  PG02 P
04  PG04 PINE DESK TOPS LEGS SIDE DRAWERS          100  M3  000000
04  PG05 PINUSTAEDA
05  PG06 HRV  CA
06  PG10 WOODEN DESK MODEL 0415
07  PG22 IM  AP6  Y   12182014
08  PG21 IM  JOHN Q IMPORTER          3015552232  JQI@IMP.COM
09  PG24 WILLOUGHBY
10  PG25 10000
11  PG01 002  APHAPL
12  PG02 P
13  PG04 PINE DESK TOPS LEGS SIDE DRAWERS          200  M3  000000
14  PG05 PINUSTAEDA
15  PG06 HRV  CA
16  PG10 WOODEN DESK MODEL 0522
17  PG22 IM  AP6  Y   12182014
18  PG21 IM  JOHN Q IMPORTER          3015552232  JQI@IMP.COM
19  PG24 WILLOUGHBY
20  PG25 20000
21  PG01 003  APHAPL
22  PG02 P
23  PG04 PINE DESK TOPS DRAWERS              300  M3  000000
24  PG05 PINUSTAEDA
25  PG06 HRV  US
26  PG04 PINE DESK TOPS LEGS SIDE              400  M3  000000
27  PG05 PINUSTAEDA
28  PG06 HRV  CA
29  PG10 PINE DESK MODEL 0553
30  PG22 IM  AP6  Y   12182014
31  PG21 IM  JOHN Q IMPORTER          3015552232  JQI@IMP.COM
32  PG24 WILLOUGHBY
33  PG25 30000
```

Example 3: Unknown Species Breakdown

This sample is not common and requires the exercise of due care to determine applicability.

Example 3 is an entry of softwood lumber made of three species of pine with a quantity of 300 cubic meters.* After exercising due care the importer cannot determine specifically how much of each species exists in this shipment, however, they know that 100 M3 was harvested in the United Kingdom and 200 M3 was harvested in France. The shipment is valued at \$30,000 and is shipped in one container.

*The statute requires a unique quantity for each species/country pairing.

00	H5001CA4403200008AFABCTEC123FAL			
01	OI	SOFTWOOD PULPWOOD		
02	PG01	001 APHAPL		
03	PG02	P		
04	PG04	UK PINE PULPWOOD	33 M3	000000
05	PG05	PINUSTAEDA		
06	PG06	HRV GB		
07	PG04	UK PINE PULPWOOD	33 M3	000000
08	PG05	PINUSRIGIDA		
09	PG06	HRV GB		
10	PG04	UK PINE PULPWOOD	34 M3	000000
11	PG05	PINUSECHINADA		
12	PG06	HRV GB		
13	PG04	FRENCH PINE PULPWOOD	33 M3	000000
14	PG05	PINUSTAEDA		
15	PG06	HRV FR		
16	PG04	FRENCH PINE PULPWOOD	33 M3	000000
17	PG05	PINUSRIGIDA		
18	PG06	HRV FR		
19	PG04	FRENCH PINE PULPWOOD	34 M3	000000
20	PG05	PINUSECHINADA		
21	PG06	HRV FR		
22	PG10	EUROPEAN UNION PINE PULPWOOD		
23	PG22	IM AP6 Y 12182014		
24	PG21	IM JOHN Q IMPORTER	3015552232	JQI@IMP.COM
25	PG24	WILLOUGHBY		
26	PG25	30000		
27	PG27	WHXU4101474		

Example 3a: Unknown Species Breakdown

This sample is not common and requires the exercise of due care to determine applicability.

Example 3 is an entry of softwood lumber made of three species of pine with a quantity of 300 cubic meters.* After exercising due care the importer cannot determine specifically how much of each species exists in this shipment, however, they know that 100 M3 was harvested in the United Kingdom and 200 M3 was harvested in France. The shipment is valued at \$30,000 and is shipped in one container. It will be necessary to estimate the amount of product for each species.

*The statute requires a unique quantity for each species/country pairing.

00	H5001CA4403200008AFABCTEC123FAL			
01	OI	SOFTWOOD PULPWOOD		
02	PG01	001 APHAPL		
03	PG02	P		
04	PG04	UK PINE PULPWOOD	20 M3	000000
05	PG05	PINUSTAEDA		
06	PG06	HRV GB		
07	PG04	UK PINE PULPWOOD	40M3	000000
08	PG05	PINUSRIGIDA		
09	PG06	HRV GB		
10	PG04	UK PINE PULPWOOD	40 M3	000000
11	PG05	PINUSECHINADA		
12	PG06	HRV GB		
13	PG04	FRENCH PINE PULPWOOD	100 M3	000000
14	PG05	PINUSTAEDA		
15	PG06	HRV FR		
16	PG04	FRENCH PINE PULPWOOD	50 M3	000000
17	PG05	PINUSRIGIDA		
18	PG06	HRV FR		
19	PG04	FRENCH PINE PULPWOOD	50 M3	000000
20	PG05	PINUSECHINADA		
21	PG06	HRV FR		
22	PG10	EUROPEAN UNION PINE PULPWOOD		
23	PG22	IM AP6 Y 12182014		
24	PG21	IM JOHN Q IMPORTER	3015552232	JQI@IMP.COM
25	PG24	WILLOUGHBY		
26	PG25	30000		
27	PG27	WHXU4101474		

Example 4a: Unknown Country Breakdown

This Sample is not common and requires the exercise of due care to determine applicability.

*The statute requires a unique quantity for each species/country pairing. APHIS guidance states that in the case where the country is unknown (after the exercise of due care) and the total number of possible countries is 10 or more, then the filer may use “***” in lieu of the Country of Harvest.

Example 4a is an entry of softwood lumber with only one CBP line item and has two PGA line items. Both PGA lines are under the same OI record (same commercial description).

This line is lumber made of a species of pine, quantity is 200 cubic meters divided by the three possible countries of harvest, each will be assigned a quantity of 66 M3, (after exercising due care the importer cannot determine specifically how much of each species was harvested in each country). The line is valued at \$20,000, and is in one container. 00 H5001CA4403200008ZZABCTEC123XX

01	OI	SOFTWOOD PULPWOOD		
02	PG01	001 APHAPL		
03	PG02	P		
04	PG04	PINE PULPWOOD UNKNOWN COUNTRY BREAKDOWN	11 M3	00000
05	PG05	PINUSECHINADA		
06	PG04	PINE PULPWOOD UNKNOWN COUNTRY BREAKDOWN	11 M3	00000
07	PG05	PINUSECHINADA		
08	PG06	HRV GB		
09	PG04	PINE PULPWOOD UNKNOWN COUNTRY BREAKDOWN	11M3	00000
10	PG05	PINUSECHINADA		
12	PG06	HRV FR		
13	PG04	PINE PULPWOOD UNKNOWN COUNTRY BREAKDOWN	33 M3	00000
14	PG05	PINUSECHINADA		
15	PG06	HRV DE		
16	PG10	EUROPEAN UNION SOFTWOOD PULPWOOD		
17	PG22	IM AP6 Y 12182014		
18	PG21	IM JOHN Q IMPORTER	3015552232	JQI@IMP.COM
19	PG24	WHILLOUGHBY		
20	PG25	20000		
21	PG27	WHXU4101474		

Example 4b: Unknown Country Breakdown

This Sample is not common and requires the exercise of due care to determine applicability.

*The statute requires a unique quantity for each species/country pairing. APHIS guidance states that in the case where the country is unknown (after the exercise of due care) and the total number of possible countries is 10 or more, then the filer may use “**” in lieu of the Country of Harvest.

Example 4b is an entry of softwood lumber with only one CBP line item and has two PGA line items. Both PGA lines are under the same OI record (same commercial description).

This line is lumber made of three species of pine (100 m3 each); it is unknown* (after exercising due care) exactly where the pine was harvested. The country of harvest could have been one of eleven countries. This shipment is valued at \$30,000, and shipped in one container.

00	H5001CA4403200008ZZABCTEC123XX				
01	OI	SOFTWOOD PULPWOOD			
02	PG01	002	APHAPL		
03	PG02	P			
04	PG04	PINE PULPWOOD UNKNOWN COUNTRY	100	M3	00000
05	PG05	PINUS ECHINADA			
06	PG06	HRV	**		
07	PG04	PINE PULPWOOD UNKNOWN COUNTRY	100	M3	00000
08	PG05	PINUS TAEDA			
09	PG06	HRV	**		
10	PG04	PINE PULPWOOD UNKNOWN COUNTRY	100	M3	00000
11	PG05	PINUS RIGIDA			
12	PG06	HRV	**		
13	PG10	EUROPEAN UNION SOFTWOOD PULPWOOD			
14	PG22	IM	AP6	Y	12182014
15	PG21	IM	JOHN Q IMPORTER	3015552232	JQI@IMP.COM
16	PG24	WILLOUGHBY			
17	PG25	30000			
18	PG27	WHXU4101474			

Example 5: Unknown Country and Species Breakdown

This Sample is not common and requires the exercise of due care to determine applicability.

Example 5 is an entry of softwood lumber with only one CBP line item and has three PGA line items. The PGA line is lumber made of three species of pine; it is unknown (after exercising due care) how much of the 300 cubic meters of pine represents each of the three species, though they were each harvested in Canada and United States. The quantity is divided between the PG06, Country of Harvest,. This shipment is valued at \$30,000, and shipped in one container.

*The statute requires a unique quantity for each species/country pairing.

```
00  H5001CA4403200008ZZABCTEC123XX
01  OI    SOFTWOOD PULPWOOD
20  PG01 001  APHAPL
21  PG02 P
02  PG04 PINE PULPWOOD  50    M3    00000
03  PG05 PINUSECHINADA
04  PG06 HRV  CA
05  PG04 PINE PULPWOOD  50    M3    00000
06  PG05 PINUSECHINADA
07  PG06 HRV  US
08  PG04 PINE PULPWOOD  50    M3    00000
09  PG05 PINUSTAEDA
10  PG06 HRV  CA
11  PG04 PINE PULPWOOD  50    M3    00000
12  PG05 PINUSTAEDA
13  PG06 HRV  US
14  PG04 PINE PULPWOOD  50    M3    00000
15  PG05 PINUSRIGIDA
16  PG06 HRV  CA
17  PG04 PINE PULPWOOD  50    M3    00000
18  PG05 PINUSRIGIDA
19  PG06 HRV  US
22  PG10 NORTH AMERICAN SOFTWOOD PULPWOOD
23  PG22 IM  AP6  Y    12182014
24  PG21 IM  JOHN Q IMPORTER      3015552232  JQI@IMP.COM
25  PG24 WILLOUGHBY
26  PG25 30000
27  PG27 WHXU4101474
```

Example 6: Special Use Designation

This Sample is not common and requires the exercise of due care to determine applicability.

Example 6 is an entry of products whose component species are difficult to determine. APHIS has created Special Use Designations (SUD's) to streamline the declaration requirement for cases where the importer has exercised their due care and concluded that the species is impossible to determine. This entry contains 2 products.

The first product was manufactured in 1955 (prior to the Lacey Act reporting requirement) and *after the exercise of due care the importer could not determine the species.

The second product is a seat made of pine and particleboard and (after the exercise of due care) the importer could not determine the species. For the Pine portion of the chairs is made of 100 M3 of Pinus strobus. For the particle board portion of the chairs, the quantity of plant material harvested in Canada is 200 M3 and the quantity of plant material harvested in the United States is 300 M3.

*The statute requires the species and country of harvest for each plant or plant product.

```
00 H5001CA9403704010ZZABCTEC123XX
01 OI SEATS WITH WOOD FRAMES
02 PG01 001 APHAPL
03 PG02 P
04 PG04 VINTAGE SEATS 100 M3 000000
05 PG05 SPECIAL PREAMENDMENT
06 PG06 HRV CA
07 PG10 SEATS WITH WOODEN FRAMES
08 PG22 IM AP6 Y 12182014
09 PG21 IM JOHN Q IMPORTER 3015552232 JQI@IMP.COM
10 PG24 WILLOUGHBY
11 PG25 7000
12 PG01 002 APHAPL
13 PG02 P
14 PG04 PINE VENEER 100 M3 000000
15 PG05 PINUS STROBUS
16 PG06 HRV CA
17 PG04 PARTICLEBOARD BASE 200 M3 000000
18 PG05 SPECIAL COMPOSITE
19 PG06 HRV CA
20 PG04 PARTICLEBOARD BASE 300 M3 000000
21 PG05 SPECIAL COMPOSITE
22 PG06 HRV US
23 PG10 SEATS WITH WOODEN FRAMES
24 PG22 IM AP6 Y 12182014
25 PG21 IM JOHN Q IMPORTER 3015552232 JQI@IMP.COM
26 PG24 WILLOUGHBY
27 PG25 40000
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Example 8: Product-level Declaration

Example 8 is a product-level declaration of an entry of wooden side chairs.

The first line of chairs is made of a variety of different species with a two-species backing. There are 800 m3 of *Pinus radiata* from Russia, 500 m3 of *Betula platyphylla* from Russia, 600 m3 of *Pinus radiata* from Russia, 200 m3 of *Betula platyphylla* from Russia.

The second line of chairs is a fabric-covered seat containing another set of species. There are 22000 m3 of *Pinus radiata* from New Zealand, 40000 m3 of *Betula Alleghaniensis* from Canada. The fabric is made of synthetic (non-plant) material and does not require a Lacey Act declaration. The value of the PGA line is \$10,000, and the shipment is in one container.

00	H5001CA 9403704010ZZABCTEC123XX			
01	OI WOODEN K.D FURNITURE			
02	PG01 001 APHAPL			
03	PG02 P			
04	PG04 CHAIR - SIDES AND SEAT	1400	M3	000000
05	PG05 PINUS RADIATA			
06	PG06 HRV RU			
07	PG04 CHAIR - BACK AND LEGS	700	M3	000000
08	PG05 BETULA PLATYPHYLLA			
09	PG06 HRV RU			
10	PG10 WOODEN K.D FURNITURE			
11	PG22 IM AP6 Y 12182014			
12	PG21 IM JOHN Q IMPORTER	3015552232		JQI@IMP.COM
13	PG24 WILLOUGHBY			
14	PG25 10000			
15	PG01 002 APHAPL			
16	PG02 P			
17	PG04 CHAIR - LEGS	22000	M3	000000
18	PG05 PINUS RADIATA			
19	PG06 HRV NZ			
20	PG04 CHAIR - BACK	40000	M3	000000
21	PG05 BETULA ALLEGHANIENSIS			
22	PG06 HRV CA			
23	PG10 WOODEN K.D FURNITURE			
24	PG22 IM AP6 Y 12182014			
25	PG21 IM JOHN Q IMPORTER	3015552232		JQI@IMP.COM
26	PG24 WILLOUGHBY			
27	PG25 10000			
28	PG27 WHXU4101474			

Example 9: Declaration with a Permit

Example 9 is an entry of pine desks with only one CBP line item and one PGA line item. The desks are made of pine, with a quantity is 100 cubic meters, harvested in Canada, and valued at \$10,000. These desks have an inlay of 0.678 cubic meters of *Cedrela oderata* harvested in Bolivia. This is a CITES species that requires a permit. The permit number is: 000473

00	H5001CA9403308000ZZABCTEC123XX				
01	OI	WOODEN DESK PINE			
02	PG01	001	APHAPL		
03	PG02	P			
04	PG04	DESK SIDES TOP LEGS AND FRAME	100	M3	000000
05	PG05	PINUSTAEDA			
06	PG06	HRV CA			
07	PG04	CEDAR INLAY CITES PROTECTED	0.678	M3	000000
08	PG05	CEDRELA ODERATA			
09	PG06	HRV BO			
10	PG10	PINE DESK MODEL 0415			
11	PG14	1	FWF 000473	1	05012015
12	PG22	IM AP6 Y	12182014		
13	PG21	IM JOHN Q IMPORTER	3015552232	JQI@IMP.COM	
14	PG25	10000			

Example 10: Disclaimer Declaration

Example 10 is a disclaimed declaration for an entry of metal pianos. The Lacey Act Declaration is flagged for shipments of HTS 9201, however there is no plant material in product and therefore does not require any further PGA information under the Lacey Act.

00 H5001CA 920120000051ZZABCTEC123XX
01 OI CAST IRON GRAND PIANOS
02 PG01 001 APHAPL A