

CBP and Trade Automated Interface Requirements

USDA APHIS LacEU Act Samples

October 2015



U.S. Customs and
Border Protection



Table of Changes

Revision Number	Date of Change	Brief Description of Change
2.8	23JUL15	<p>PG04 and PG29: PG29 follows PG04 and the combination is a wrapper for the unknown species/unknown countries.</p> <p>PG14: Type Code, A8, PPQ505 is deprecated and not required.</p> <p>PG21 and PG22: PG21 can lead or follow PG22, use the most convenient for you.</p> <p>PG02: PG02 C is allowed but the use should be considered as uncommon.</p>
2.8.1	2AUG15	Correct Example 7 to PG02 C
2.9	13AUG15	<p>Discontinue use of PG29;</p> <p>Delete PG29 from samples;</p> <p>Reconfigure samples to demonstrate concepts for use without PG29 in examples of unknown species or country of harvest;</p> <p>Add example of multiple PG05's with one PG06;</p> <p>Add PG24;</p> <p>Swap PG21/PG22;</p> <p>Delete example of component code.</p>
2.9.1	16SEP15	Change all sequence to full Parent Child. Add Example 3C. Modify examples of unknown country, unknown quantity to PG04, PG05, PG06 sequence. Update format to all caps.
2.9.2	30SEP15	Modify PG04, PG05, PG06 sequences to demonstrate possible examples of Using the PG04 Y flag for lists of either possible species or possible countries of harvest. Remove PG04 recycled percentage placeholders.
2.9.3	05OCT15	Correct PG04 change "U" to "Y"

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Introduction

The APHIS Lacey Act ACE message set samples are for demonstration of the APHIS Lacey Act message set and are not the definitive set or the complete set of examples. The cases presented are representative of cases developed during talks with developers and brokers during the development of the APHIS Lacey Act message set.

Example 1: Simple Declaration, One Product many Species

Example 1 is an entry of used railroad ties with only one CBP line item. Each tie is made of maple or oak. There are 100 kg of *Acer saccharum* from Canada, 200 kg of *Quercus rubra* from Canada, 300 kg of *Quercus alba* from Canada, 400 kg of *Acer saccharum* from the U.S., 500 kg of *Quercus rubra* from the U.S., and 600 kg of *Quercus alba* from the U.S. The value of the PGA line is \$10,000, and the shipment is in two containers.

00 H5001CA 4407930010ZZABCTEC123XXX
01 OI USED RAILROAD TIES
02 PG01 001 APHAPL
03 PG02 P
04 PG10 USED MAPLE AND OAK RAILROAD TIES
05 PG04 MAPLE TIES 100 KG
06 PG05 ACER SACCHARUM
07 PG06 HRV CA
08 PG04 OAK TIES 200 KG
09 PG05 QUERCUS RUBRA
10 PG06 HRV CA
11 PG04 OAK TIES 300 KG
12 PG05 QUERCUS ALBA
13 PG06 HRV CA
14 PG04 MAPLE TIES 400 KG
15 PG05 ACER SACCHARUM
16 PG06 HRV US
17 PG04 OAK TIES 500 KG
18 PG05 QUERCUS RUBRA
19 PG06 HRV US
20 PG04 OAK TIES 600 KG
21 PG05 QUERCUS
22 PG06 HRV US
23 PG22 IM AP6 Y 12182014
24 PG21 IM JOHN Q IMPORTER 3015552232 JQI@IMP.COM
25 PG25 10000
26 PG27 WHXU4101474 WHXU4101475

Example 2: Simple Declaration, Three Products One Species

Example 2 is an entry of pine desks with only one CBP line item and has three PGA line items representing 3 different styles of desk. All three PGA lines are under the same OI record (same commercial description).

- 1) First PGA line are desks made of pine (*Pinus taeda*), with a quantity is 100 cubic meters, harvested in Canada, and valued at \$10,000.
- 2) Second PGA line are desks made of pine (*Pinus taeda*), with a quantity is 200 cubic meters, harvested in Canada, and valued at \$20,000.
- 3) Third PGA line are desks made of pine (*Pinus taeda*), valued at \$30,000, with a quantity of 300 cubic meters harvested in the United States and 400 cubic meters harvested in the Canada.

Section 1.1

Text

```
00 H5001CA9403308000ZZABCTEC123XX
01 OI WOODEN DESK
02 PG01 001 APHAPL
03 PG02 P
05 PG10 WOODEN DESK MODEL 0415
06 PG04 PINE DESK TOPS LEGS SIDE DRAWERS 100 M3
07 PG05 PINUS TAEDA
08 PG06 HRV CA
09 PG22 IM AP6 Y 12182014
10 PG21 IM JOHN Q IMPORTER 3015552232 JQI@IMP.COM
11 PG25 10000
12 PG01 002 APHAPL
13 PG02 P
14 PG10 WOODEN DESK MODEL 0522
15 PG04 PINE DESK TOPS LEGS SIDE DRAWERS 200 M3
16 PG05 PINUS TAEDA
17 PG06 HRV CA
18 PG22 IM AP6 Y 12182014
19 PG21 IM JOHN Q IMPORTER 3015552232 JQI@IMP.COM
20 PG25 20000
21 PG01 003 APHAPL
22 PG02 P
23 PG10 PINE DESK MODEL 0553
24 PG04 PINE DESK TOPS DRAWERS 300 M3
25 PG05 PINUS TAEDA
26 PG06 HRV US
27 PG04 PINE DESK TOPS LEGS SIDE 400 M3
28 PG05 PINUS TAEDA
29 PG06 HRV CA
30 PG22 IM AP6 Y 12182014
31 PG21 IM JOHN Q IMPORTER 3015552232 JQI@IMP.COM
32 PG25 30000
```

Example 3: Unknown Species Breakdown

This sample is not common and requires the exercise of due care to determine applicability.

Example 3 is an entry of softwood lumber made of three species of pine with a quantity of 300 cubic meters.* After exercising due care the importer cannot determine specifically how much of each species exists in this shipment, however, the EU know that 100 M3 was harvested in the United Kingdom and 200 M3 was harvested in France. The shipment is valued at \$30,000 and is shipped in one container. PG04 has the Unknown flag set. PG05 is looped through the list of possible species, incrementing the amount of product in PG04. When the next country is encountered the PG04 Unknown ("Y") flag is set. PG05 is rotated through the list of possible species, incrementing the amount of product in PG04. PG06 does not change during the loop of PG05 possible species.

*The statute requires a unique quantity for each species/country pairing. Equal division of quantity is not ex

00	H5001CA4403200008AFABCTEC123FAL		
01	OI	SOFTWOOD PULPWOOD	
02	PG01	001 APHAPL	
03	PG02	P	
04	PG10	EUROPEAN UNION PINE PULPWOOD	
05	PG04	Y UK PINE PULPWOOD	34 M3
06	PG05	PINUS TAEDA	
07	PG06	HRV GB	
08	PG04	Y UK PINE PULPWOOD	17 M3
09	PG05	PINUS RIGIDA	
10	PG06	HRV GB	
11	PG04	Y UK PINE PULPWOOD	49 M3
12	PG05	PINUS ECHINADA	
13	PG06	HRV GB	
14	PG04	Y FRENCH PINE PULPWOOD	67 M3
15	PG05	PINUS TAEDA	
16	PG06	HRV FR	
17	PG04	Y FRENCH PINE PULPWOOD	33 M3
18	PG05	PINUS RIGIDA	
19	PG06	HRV FR	
20	PG04	Y FRENCH PINE PULPWOOD	100 M3
21	PG05	PINUS ECHINADA	
22	PG06	HRV FR	
24	PG22	IM AP6 Y 12182014	
25	PG21	IM JOHN Q IMPORTER	3015552232 JQI@IMP.COM
26	PG25	30000	
27	PG27	WHXU4101474	

Example 4: Unknown Country Breakdown

***This Sample is not common and requires the exercise of due care to determine applicability.**

Example 4 is an entry of softwood lumber with only one CBP line item and has two PGA line items. Both PGA lines are under the same OI record (same commercial description).

1) First PGA line is lumber made of a species of pine, quantity is 200 cubic meters, *(after exercising due care the importer cannot determine specifically how much of each species was harvested in United Kingdom, France or Germany). The line is valued at \$20,000, and is all in one container.

2) Second PGA line is lumber made of a species of pine, 300 cubic meters, harvested in an unknown country. The country of harvest could have been one of eleven countries. This shipment is valued at \$30,000, and shipped in one container.

The statute requires a unique quantity for each species/country pairing. Current APHIS guidance states that in the case where the country is unknown (after the exercise of due care) and the total number of possible countries is 10 or more, then the filer may use “***” in lieu of the Country of Harvest. *The statute requires a unique quantity for each species/country pairing.

```
00 H5001CA4403200008ZZABCTEC123XX
01 OI SOFTWOOD PULPWOOD
02 PG01 001 APHAPL
03 PG02 P
04 PG10 SOFTWOOD PULPWOOD
05 PG04 Y EU PINE PULPWOOD 66 M3
06 PG05 PINUS ECHINADA
07 PG06 HRV GB
07 PG04 Y EU PINE PULPWOOD 56 M3
09 PG05 PINUS ECHINADA
10 PG06 HRV FR
11 PG04 Y EU PINE PULPWOOD 88 M3
12 PG05 PINUS ECHINADA
13 PG06 HRV DE
14 PG22 IM AP6 Y 12182014
15 PG21 IM JOHN Q IMPORTER 3015552232 JQI@IMP.COM
16 PG25 20000
17 PG27 WHXU4101474
18 PG01 002 APHAPL
19 PG02 P
20 PG10 SOFTWOOD PULPWOOD
21 PG04 Y NA PINE PULPWOOD 15 M3
22 PG05 PINUS ECHINADA
23 PG06 HRV **
24 PG04 Y NA PINE PULPWOOD 200 M3
25 PG05 PINUS ECHINADA
26 PG06 HRV **
27 PG04 Y NA PINE PULPWOOD 85 M3
28 PG05 PINUS ECHINADA
29 PG06 HRV **
30 PG22 IM AP6 Y 12182014
31 PG21 IM JOHN Q IMPORTER 3015552232 JQI@IMP.COM
32 PG25 30000
33 PG27 WHXU4101474
```

Example 5: Unknown Country and Species Breakdown

This Sample is not common and requires the exercise of due care to determine applicability.

Example 5 is an entry of softwood lumber with only one CBP line item and has three PGA line items. The PGA line is lumber made of three species of pine; it is unknown (after exercising due care) how much of the 300 cubic meters of pine represents each of the three species, though the EU were each harvested in either Canada or United States. This shipment is valued at \$30,000, and shipped in one container.

*The statute requires a unique quantity for each species/country pairing.

00	H5001CA4403200008ZZABCTEC123XX		
01	OI	SOFTWOOD PULPWOOD	
02	PG01	001 APHAPL	
03	PG02	P	
04	PG10	NORTH AMERICAN SOFTWOOD PULPWOOD	
05	PG04	Y PINE PULPWOOD	50 M3
06	PG05	PINUS ECHINADA	
07	PG06	HRV CA	
08	PG04	Y PINE PULPWOOD	42 M3
09	PG05	PINUS ECHINADA	
10	PG06	HRV US	
11	PG04	Y PINE PULPWOOD	83 M3
12	PG05	PINUS TAEDA	
13	PG06	HRV CA	
14	PG04	Y PINE PULPWOOD	37 M3
15	PG05	PINUS TAEDA	
16	PG06	HRV US	
17	PG04	Y PINE PULPWOOD	201 M3
18	PG05	PINUS RIGIDA	
19	PG06	HRV CA	
20	PG04	Y PINE PULPWOOD	137 M3
21	PG05	PINUS RIGIDA	
22	PG06	HRV US	
23	PG22	IM AP6 Y 12182014	
24	PG21	IM JOHN Q IMPORTER	3015552232 JQI@IMP.COM
25	PG25	30000	
26	PG27	WHXU4101474	

Example 6: Special Use Designation

This Sample is not common and requires the exercise of due care to determine applicability.

Example 6 is an entry of products whose component species are difficult to determine. APHIS has created Special Use Designations (SUD's) to streamline the declaration requirement for cases where the importer has exercised their due care and concluded that the species is impossible to determine. This entry contains 2 products.

The first product was manufactured in 1955 (prior to the Lacey Act reporting requirement) and *after the exercise of due care the importer could not determine the species.

The second product is a seat made of pine and particleboard and (after the exercise of due care) the importer could not determine the species. For the Pine portion of the chairs is made of 100 M3 of Pinus strobus. For the particle board portion of the chairs, the quantity of plant material harvested in Canada is 200 M3 and the quantity of plant material harvested in the United States is 300 M3.

*The statute requires the species and country of harvest for each plant or plant product.

```
00 H5001CA9403704010ZZABCTEC123XX
01 OI SEATS WITH WOOD FRAMES
02 PG01 001 APHAPL
03 PG02 P
04 PG10 SEATS WITH WOODEN FRAMES
05 PG04 VINTAGE SEATS 100 M3
06 PG05 SPECIAL PREAMENDMENT
07 PG06 HRV CA
08 PG22 IM AP6 Y 12182014
09 PG21 IM JOHN Q IMPORTER 3015552232 JQI@IMP.COM
10 PG25 7000
11 PG01 002 APHAPL
12 PG02 P
13 PG10 SEATS WITH WOODEN FRAMES
14 PG04 PINE VENEER 100 M3
15 PG05 PINUS STROBUS
16 PG06 HRV CA
17 PG04 PARTICLEBOARD BASE 200 M3
18 PG05 SPECIAL COMPOSITE
19 PG06 HRV CA
20 PG04 PARTICLEBOARD BASE 300 M3
21 PG05 SPECIAL COMPOSITE
22 PG06 HRV US
23 PG22 IM AP6 Y 12182014
24 PG21 IM JOHN Q IMPORTER 3015552232 JQI@IMP.COM
25 PG25 40000
```

Example 7: Product-level Declaration

Example 8 is a product-level declaration of an entry of wooden side chairs.

The first line of chairs is made of a variety of different species with a two-species backing. There are 800 m3 of *Pinus radiata* from Russia, 500 m3 of *Betula platyphylla* from Russia, 600 m3 of *Pinus radiata* from Russia, 200 m3 of *Betula platyphylla* from Russia.

The second line of chairs is a fabric-covered seat containing another set of species. There are 22000 m3 of *Pinus radiata* from New Zealand, 40000 m3 of *Betula Alleghaniensis* from Canada. The fabric is made of synthetic (non-plant) material and does not require a Lacey Act declaration. The value of the PGA line is \$10,000, and the shipment is in one container.

00	H5001CA 9403704010ZZABCTEC123XX		
01	OI WOODEN K.D FURNITURE		
02	PG01 001 APHAPL		
03	PG02 P		
04	PG10 WOODEN K.D FURNITURE		
05	PG04 CHAIR - SIDES AND SEAT	1400	M3
06	PG05 PINUS RADIATA		
07	PG06 HRV RU		
08	PG04 CHAIR - BACK AND LEGS	700	M3
09	PG05 BETULA PLATYPHYLLA		
10	PG06 HRV RU		
11	PG22 IM AP6 Y 12182014		
12	PG21 IM JOHN Q IMPORTER	3015552232	JQI@IMP.COM
13	PG25 10000		
14	PG01 002 APHAPL		
15	PG02 P		
16	PG10 WOODEN K.D FURNITURE		
17	PG04 CHAIR - LEGS	22000	M3
18	PG05 PINUS RADIATA		
19	PG06 HRV NZ		
20	PG04 CHAIR - BACK	40000	M3
21	PG05 BETULA ALLEGHANIENSIS		
22	PG06 HRV CA		
23	PG22 IM AP6 Y 12182014		
24	PG21 IM JOHN Q IMPORTER	3015552232	JQI@IMP.COM
25	PG25 10000		
26	PG27 WHXU4101474		

Example 8: Declaration with a Permit

Example 9 is an entry of pine desks with only one CBP line item and one PGA line item.

The desks are made of pine, with a quantity is 100 cubic meters, harvested in Canada, and valued at \$10,000.

These desks have an inlay of 0.678 cubic meters, rounded to 0.68 of *Cedrela oederata* harvested in Bolivia. This is a CITES species that requires a permit. The permit number is: 000473

00	H5001CA9403308000ZZABCTEC123XX				
01	OI	WOODEN DESK PINE			
02	PG01	001	APHAPL		
03	PG02	P			
04	PG10	PINE DESK MODEL 0415			
05	PG04	DESK SIDES TOP LEGS AND FRAME	100	M3	
06	PG05	PINUSTAEDA			
07	PG06	HRV CA			
08	PG04	CEDAR INLAY CITES PROTECTED	068	M3	
09	PG05	CEDRELA ODERATA			
10	PG06	HRV BO			
11	PG14	1	FWF 000473	1 05012015	
12	PG22	IM AP6 Y	12182014		
13	PG21	IM JOHN Q IMPORTER	3015552232	JQI@IMP.COM	
14	PG25	10000			

Example 10: Disclaimer Declaration

Example 10 is a disclaimed declaration for an entry of metal pianos. The Lacey Act Declaration is flagged for shipments of HTS 9201, however there is no plant material in product and therefore does not require any further PGA information under the Lacey Act.

Disclaimer Code Use:

No disclaimer code PG01, position 80, NULL or blank – the entry will process through ACE

Data not present because:

- “A” – Product not regulated by this agency,
- “B” – data is not required per agency guidance
- “C” – data filed through other agency means
- “D” – data filed through paper

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00 H5001CA 920120000051ZZABCTEC123XX
01 OI CAST IRON GRAND PIANOS
02 PG01 001 APHAPL A
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