

OMC Implementation Guide

CBP and Trade Automated Interface Requirements

Department of State Office of Marine Conservation

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Table of Changes

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
1.0	5/5/2016	Entire Document	Suggested revisions from initial Trade Working Group; specific details below.
	5/3/2016	OMC Form DS-2031	Included OMC Form DS-2031.
	5/3/2016	PG01	Added disclaimer.
	5/3/2016	PG22	Moved location in IG; added Document ID; updated Conformance Declaration, Entity Role Code and Declaration Code.
	5/3/2016	PG06	Updated Country Code and Processing Start Date Description; added Processing Type Codes.
	5/3/2016	PG19	Removed Importer and UC.
	5/3/2016	PG31/PG29	Removed PG31; added PG29.
	5/3/2016	Business Rules	If/Then scenario for Box 7A3; included Country Codes.
	5/3/2016	Sample Grids	Updated to reflect revised information.
2.0	5/6/2016	PG60	Added PG60
	5/6/2016	PG22	Moved location in IG.
	5/6/2016	PG06	Removed Processing Type Codes
	5/6/2016	PG21	Added Individual Qualifiers
	5/6/2016	Sample Grids	Updated to reflect revised information

Introduction

This document is intended as a supplemental guide to the CBP Customs and Trade Automated Interface Requirements (CATAIR), PGA Message Set chapter (also referred to as an implementation guide). That PGA CATAIR chapter outlines the overall data relationships and rules for data submission on a CBP system level.

The PGA Message Set chapter/implementation guide and its related Appendix PGA can be found on CBP.gov at:

http://www.cbp.gov/xp/cgov/trade/automated/modernization/ace_edi_messages/catair_main/abicatair/catair_chapters/future_pga_set_docs/

The CATAIR chapters and appendices can be found on CBP.gov at:

http://www.cbp.gov/xp/cgov/trade/automated/modernization/ace_edi_messages/catair_main/abi_catair/catair_chapters/

Other related appendices that may be used can be found at:

http://www.cbp.gov/xp/cgov/trade/automated/automated_systems/abi/catair/appendices/

This PGA supplemental guide provides OMC-specific guidance regarding OMC's business requirement for data submission.

REMINDERS: The PGA Message Set does not stand-alone and must be submitted with an entry filing, such as an ACE Entry Summary, certified for cargo release.

There are times when OMC and CBP may need the same data. In those instances, OMC will not ask for the data to be provided again in the PGA Message Set, if it is already being asked for by CBP. That is, the PGA Message Set data requirements for an agency will not duplicate those common data elements. Instead, the PGA Message Set is used to provide the additional data needed for OMC's purpose.

OMC Overview

The Office of Marine Conservation (OMC) is a part of the State Department's Bureau of Oceans and International Environmental and Scientific Affairs (OES). The Office of Marine Conservation is responsible for formulating and implementing U.S. policy on a broad range of international issues concerning living marine resources. In executing this responsibility, OES/OMC negotiates bilateral and multilateral fisheries agreements, participates in international fisheries conservation and management organizations and arrangements at the regional and global levels, and represents the U.S. in the conservation and management of living marine resources.

OMC's overarching goal is the management, conservation and restoration of stocks of living marine resources in order to:

- Maintain a healthy and productive marine environment and marine ecosystems; and
- Promote economic benefits and food security through sustainable fisheries.

Working with other US federal agencies and constituents, OMC's specific objectives are to:

- Promote a level playing field for U.S. fishermen and access to fishery resources on an equitable basis.
- Adopt and implement effective and enforceable conservation and management measures, based on reliable and objective science.
- Control and reduce bycatch and discards of juvenile fish, non-target fish species, associated and dependent species and species belonging to the same ecosystem (including sea turtles, seabirds and sharks, among others).
- Control and prevent illegal, unregulated and unreported fishing.
- Adopt and implement effective monitoring, control and surveillance (MCS) measures.
- Control fishing capacity and fishing effort at levels commensurate with the sustainability of fish stocks.
- Protect the marine environment from destructive fishing practices, as well as marine debris and marine pollution associated with fishing activities.
- Promote trade regimes that contribute to sustainable fisheries.
- Promote responsible and sustainable aquaculture.

HTS Codes

HTS categories covered include:

HTS Codes	Description
0306.16.0003	Cold-water shrimps and prawns frozen; Shell-on, imported in accordance with statistical note 1 to this chapter: Count size (headless weight) less than 33 per kg (15s)
0306.16.0006	Cold-water shrimps and prawns frozen; Shell-on, imported in accordance with statistical note 1 to this chapter: Count size (headless weight) 33-45 per kg (15-20s)
0306.16.0009	Cold-water shrimps and prawns frozen; Shell-on, imported in accordance with statistical note 1 to this chapter: Count size (headless weight) 46-55 per kg (21-25s)
0306.16.0012	Cold-water shrimps and prawns frozen; Shell-on, imported in accordance with statistical note 1 to this chapter: Count size (headless weight) 56-66 per kg (26-30s)
0306.16.0015	Cold-water shrimps and prawns frozen; Shell-on, imported in accordance with statistical note 1 to this chapter: Count size (headless weight) 67-88 per kg (31-40s)
0306.16.0018	Cold-water shrimps and prawns frozen; Shell-on, imported in accordance with statistical note 1 to this chapter: Count size (headless weight) 89-110 per kg (41-50s)
0306.16.0021	Cold-water shrimps and prawns frozen; Shell-on, imported in accordance with statistical note 1 to this chapter: Count size (headless weight) 111-132 per kg (51-60s)
0306.16.0024	Cold-water shrimps and prawns frozen; Shell-on, imported in accordance with statistical note 1 to this chapter: Count size (headless weight) 133-154 per kg (61-70s)
0306.16.0027	Cold-water shrimps and prawns frozen; Shell-on, imported in accordance with statistical note 1 to this chapter: Count size (headless weight) more than 154 per kg (70s)
0306.16.0040	Cold-water shrimps and prawns frozen; Shell-on, imported in accordance with statistical note 1 to this chapter: Peeled, imported in accordance with statistical note 1 to this chapter
0306.17.0003	Other shrimps and prawns frozen; Shell-on, imported in accordance with Statistical Note 1 to this chapter: Count size (headless weight) less than 33 per kg (15s)
0306.17.0006	Other shrimps and prawns frozen; Shell-on, imported in accordance with Statistical Note 1 to this chapter: Count size (headless weight) 33-45 per kg (15-20s)
0306.17.0009	Other shrimps and prawns frozen; Shell-on, imported in accordance with Statistical Note 1 to this chapter: Count size (headless weight) 46-55 per kg (21-25s)
0306.17.0012	Other shrimps and prawns frozen; Shell-on, imported in accordance with Statistical Note 1 to this chapter: Count size (headless weight) 56-66 per kg (26-30s)
0306.17.0015	Other shrimps and prawns frozen; Shell-on, imported in accordance with Statistical Note 1 to this chapter: Count size (headless weight) 67-88 per kg (31-40s)
0306.17.0018	Other shrimps and prawns frozen; Shell-on, imported in accordance with Statistical Note 1 to this chapter: Count size (headless weight) 89-110 per kg (41-50s)

0306.17.0021	Other shrimps and prawns frozen; Shell-on, imported in accordance with Statistical Note 1 to this chapter: Count size (headless weight) 111-132 per kg (51-60s)
0306.17.0024	Other shrimps and prawns frozen; Shell-on, imported in accordance with Statistical Note 1 to this chapter: Count size (headless weight) 133-154 per kg (61-70s)
0306.17.0027	Other shrimps and prawns frozen; Shell-on, imported in accordance with Statistical Note 1 to this chapter: Count size (headless weight) more than 154 per kg (70s)
0306.17.0040	Other shrimps and prawns frozen; Peeled, imported in accordance with Statistical Note 1 to this chapter
0306.26.0020	Cold-water shrimps and prawns frozen; Shell-on
0306.26.0040	Cold-water shrimps and prawns frozen; Peeled
0306.27.0020	Other shrimps and prawns not frozen; Shell-on
0306.27.0040	Other shrimps and prawns not frozen; Peeled
1605.21.1020	Other; Shrimps and prawns not in airtight containers; Frozen, imported in accordance with Statistical Note 1 to this chapter: Breaded
1605.21.1030	Other; Shrimps and prawns not in airtight containers; Frozen, imported in accordance with Statistical Note 1 to this chapter: Other
1605.21.1050	Other; Shrimps and prawns not in airtight containers; Frozen, imported in accordance with Statistical Note 1 to this chapter: Other, imported in accordance with Statistical Note 1 to this chapter
1605.29.1010	Shrimps and prawns other: Frozen, imported in accordance with Statistical Note 1 to this chapter
1605.29.1040	Shrimps and prawns other, imported in accordance with Statistical Note 1 to this chapter

OMC Message Set Record Layouts

The following records and codes are used to create Message Sets for importing shrimp; some data elements are mandatory, while others are conditional or optional. The status column identifies each data element as Mandatory (M), Conditional (C), Optional (O), or Not Applicable (N/A). Mandatory Data Elements are required because, either that particular record requires it, or it is required by regulatory authority.

Record Identifier OI (Input – Commercial Description)

This is a mandatory Partner Government Agency line item description input record that provides the commercial description of the item. This record precedes the Record Identifiers for the OMC Message set. The OI record is a freeform, generic description record. Only one OI record is allowed per HTS code.

Record Identifier OI (Input)				
Data Element	Length/Class	Position	Status	Description
Control Identifier	2A	1-2	M	Must always equal OI.
Filler	8AN	3-10	M	Space fill.
Commercial Description	70X	11-80	M	A clear description of the commercial line item. No validations or rules will be triggered based on OI Commercial Description; it is a free form text field. For example, WARM WATER SHRIMP AND PRAWNS, FROZEN.

Example:

1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0																		
O	I									W	A	R	M		W	A	T	E	R	S	H	R	I	M	P		A	N	D		P	R	A	W	N	S	.	F	R	O	Z	E	N																																																																

Record Identifier PG60 (Input)

This is an optional OMC input record used to provide additional information about data in the PG record that precedes it during the submission of a PGA record set. This record can follow a PG19, 20 or 21 record, and can only be used to provide the additional information noted by the additional reference qualifier code list. This record may be repeated.

See usage notes (and PGA-specific guidance) for information about using this record.

Record Identifier PG29 (Input)				
Data Element	Length/Class	Position	Status	Description
Control Identifier	2A	1-2	M	Must be "PG".
Record Type	2N	3-4	M	Must be "60".
*Additional Information Qualifier Code	3AN	5-7	M	Code indicating the type of additional information being provided.
Additional Information	72X	8-80	C	Text of the additional information related to the additional reference qualifier code.

Data Element	Code	Description
*Additional Information Qualifier Codes	AD1	Entity address 1 overflow
	AD2	Entity address 2 overflow
	AD3	Entity address Line 3 for PG20
	AD4	Entity address Line 4 for PG20
	ECl	Entity city overflow
	ENA	Entity name overflow
	TEL	Telephone number overflow
	EMA	Email overflow

Example:

1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0																																												
P	G	6	0	E	M	A	S	.	C	O	M																																																																																																																																				

OMC Business Rules

Form DS-2031 Shrimp Exporter's/Importer's Declaration

IF	THEN
If Block 7A is selected	Block 7A1, 7A2 or 7A4 must be selected
If the condition is not met REJECT	
If Block 7A is selected	Block 8 must be complete
If the condition is not met REJECT	

IF	THEN
If Block 7A1 is selected	Block 2 must be complete
If the condition is not met REJECT	

IF	THEN
If Block 7A2 is selected	Block 1 must contain a country from the list of permitted countries for Block 7A2.
Permitted Countries: <ul style="list-style-type: none"> • Australia (AU) • France (FR) 	
If the condition is not met REJECT	

IF	THEN
If Block 7A4 is selected	Block 1 must contain a country from the list of permitted countries.
Permitted Countries: <ul style="list-style-type: none"> • Australia (AU) • Spain (ES) 	
If the condition is not met REJECT	

IF	THEN
If Block 7B is selected	Block 1 must contain a country from the list of permitted countries.
Permitted Countries: <ul style="list-style-type: none"> • Argentina (AR) • Bahamas (BS) • Belgium (BE) • Belize (BZ) • Canada (CA) • Chile (CL) • China (CN) • Colombia (CO) • Costa Rica (CR) • Denmark (DK) <ul style="list-style-type: none"> • Dominican Republic (DO) • Ecuador (EC) • El Salvador (SV) • Fiji (FJ) • Finland (FI) • Gabon (GA) • Germany (DE) • Guatemala (GT) • Guyana (GY) • Honduras (HN) <ul style="list-style-type: none"> • Hong Kong (HK) • Iceland (IS) • Ireland (IE) • Jamaica (JM) • Mexico (MX) • The Netherlands (NL) • New Zealand (NZ) • Nicaragua (NI) • Nigeria (NG) • Norway (NO) • Oman (OM) <ul style="list-style-type: none"> • Pakistan (PK) • Panama (PA) • Peru (PE) • Russia (RU) • Sri Lanka (LK) • Suriname (SR) • Sweden (SE) • United Kingdom (GB) • Uruguay (UI) • Venezuela (VE) 	
If the condition is not met REJECT	

Glossary / Acronyms

Acronym	Term
ABI	ACE Automated Broker Interface
ACE	Automated Commercial Environment
CATAIR	Customs and Trade Automated Interface Requirements
CBP	U.S. Customs and Border Protection
HTS	Harmonized Tariff Schedule
OMC	Office of Marine Conservation
PGA	Partner Government Agency